1 **TITLE**

2 Clinical Practice Guideline: Benign Paroxysmal Positional Vertigo (Update)

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35	
36	Differences from Prior Guideline
37	This clinical practice guideline is as an update, and replacement, for an earlier guideline
38	published in 2008 by the American Academy of Otolaryngology – Head and Neck Surgery
39	Foundation. (Bhattacharyya et al, 2008)) An update was necessitated by new primary studies and
40	systematic reviews that might suggest a need for modifying clinically important
41	recommendations. Changes in content and methodology from the prior guideline include:
42	• Addition of a patient advocate to the guideline development group
43	• New evidence from 2 clinical practice guidelines, 20 systematic reviews, and 27
44	randomized controlled trials
45	 Emphasis on patient education and shared decision-making
46	• Expanded action statement profiles to explicitly state quality improvement opportunities,

47 confidence in the evidence, intentional vagueness, and differences of opinion

48	• Enhanced external review process to include public comment and journal peer review
49	• New algorithm to clarify decision making and action statement relationships
50	• New recommendation regarding canalith repositioning post-procedural restrictions.
51	• Expansion of the recommendations regarding radiographic and vestibular testing.
52	Removal of the "no recommendation" for audiometric testing.
53	• A diagnostic and treatment visual algorithm was added.
54	INTRODUCTION
55	A primary complaint of dizziness accounts for 5.6 million clinic visits in the United
56	States per year and between 17 and 42% of patients with vertigo ultimately receive a diagnosis of
57	benign paroxysmal positional vertigo (Shappert 1992; Katsarkas 1999; Hanley et al., 2001).
58	Benign paroxysmal positional vertigo (BPPV) is a form of positional vertigo.
59	• <i>Vertigo</i> is defined as an illusory sensation of motion of either the self or the surroundings
60	in the absence of true motion.
61	• <i>Positional vertigo</i> is defined as a spinning sensation produced by changes in head
62	position relative to gravity.
63	• Benign paroxysmal positional vertigo is defined as a disorder of the inner ear
64	characterized by repeated episodes of positional vertigo (Table 1).
65	Traditionally, the terms "benign" and "paroxysmal" have been used to characterize this
66	particular form of positional vertigo. In this context, the descriptor benign historically implies
67	that BPPV was a form of positional vertigo not due to any serious central nervous system (CNS)
68	disorder and that there was an overall favorable prognosis for recovery. (Baloh et al 1987). This
69	favorable prognosis is based in part on the fact that BPPV can recover spontaneously in

70 approximately 20% of patients by one month of follow up and up to 50% at 3 months (Lynn 71 1995; Burton et al, 2012) However, the clinical and quality-of-life impacts of undiagnosed and 72 untreated BPPV may be far from "benign", as patients with BPPV are at increased risk for falls 73 and impairment in the performance of daily activities (Lopez-Escamez et al, 2005). 74 Furthermore, patients with BPPV experience effects on individual health-related quality of life 75 and utility measures demonstrate that treatment of BPPV results in improvement in quality of 76 life. (Roberts, et al. 2009). The term *paroxysmal* in this context describes the rapid and sudden 77 onset of the vertigo initiated at any time by a change of position thus resulting in BPPV. BPPV 78 has also been termed: benign positional vertigo, paroxysmal positional vertigo, positional 79 vertigo, benign paroxysmal nystagmus, and paroxysmal positional nystagmus. In this guideline, 80 the panel chose to continue to retain the terminology of BPPV as it is the most common 81 terminology encountered in the literature and in clinical practice (Lopez-Escamez et al, 2005). BPPV is most commonly clinically encountered as one of two variants: BPPV of the 82 posterior semicircular canal (posterior canal BPPV) or BPPV of the lateral semicircular canal 83 84 (also known as horizontal canal BPPV). (White et al 2005; Cakir et al 2006; Parnes et al 2003) 85 Posterior canal BPPV is more common than horizontal canal BPPV, constituting approximately 86 85-95% of BPPV cases. (Parnes et al, 2003) Although debated, posterior canal BPPV is most 87 commonly thought to be due to canalithiasis, wherein fragmented otolith particles (otoconia) 88 entering the posterior canal become displaced and cause inertial changes to the cupula in the 89 posterior canal and thereby resulting in abnormal nystagmus and vertigo when the head 90 encounters motion in the plane of the affected semicircular canal. (Parnes et al, 2003; Parnes & 91 McClure, 1992) Lateral (horizontal) canal BPPV accounts for between 5% and 15% of BPPV 92 cases. (Cakir et al, 2006; Parnes et al, 2003) The etiology of lateral canal BPPV is also felt to be

93 due to the presence of abnormal debris within the lateral canal, but the pathophysiology is not as

94 well understood as that of posterior canal BPPV. Other rare variations include anterior canal

95 BPPV, multi-canal BPPV, and bilateral multi-canal BPPV.

Term	Definition
Vertigo	An illusory sensation of motion of either the self or the
	surroundings in the absence of true motion.
Nystagmus	A rapid, involuntary, oscillatory movement of the eyeball.
Vestibular	The sensory system within the inner ear that together with the
system/apparatus	vestibular nerve and its connections in the brain provides the
	fundamental input to the brain regarding balance and spatial
	orientation.
Positional vertigo	Vertigo produced by changes in the head position relative to
	gravity
Benign paroxysmal	A disorder of the inner ear characterized by repeated episodes
positional vertigo (BPPV)	of positional vertigo.
Posterior canal BPPV	A form of BPPV in which dislodged inner ear particles in the
	posterior semicircular canal abnormally influence the balance
	system producing the vertigo, most commonly diagnosed with
\sim	the Dix-Hallpike test.
Lateral canal BPPV	A form of BPPV in which dislodged inner ear particles in the
	lateral semicircular canal abnormally influence the balance
	system producing the vertigo, most commonly diagnosed by the
	supine roll test.

96 Table 1. Definitions of common terms	96	Table 1.	Definitions	of common term	S
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Canalithiasis	A theory for the pathogenesis of BPPV that proposes that there are
	free-floating particles (otoconia) that have moved from the utricle
	and collect near the cupula of the affected canal, causing forces in
	the canal leading to abnormal stimulation of the vestibular
	apparatus.
Cupulolithiasis	A theory for the pathogenesis of BPPV that proposes that
1	otoconial debris attached to the cupula of the affected semicircular
	canal cause abnormal stimulation of the vestibular apparatus.
Canalith repositioning	A group of procedures in which the patient moves through
procedures (CRP)	specific body positions designed to relocate dislodged particles
	within the inner ear for the purpose of relieving symptoms of
	BPPV. The specific CRP chosen relates to the type of BPPV
	diagnosed. These have also been termed canalith repositioning
	maneuvers or canalith repositioning techniques.

98

99 **GUIDELINE PURPOSE**

The primary purposes of this guideline are to improve quality of care and outcomes for 100 101 BPPV by improving the accurate and efficient diagnosis of BPPV, reducing the inappropriate use 102 of vestibular suppressant medications, decreasing the inappropriate use of ancillary testing such 103 as radiographic imaging and increasing the use of appropriate therapeutic repositioning 104 maneuvers. The guideline is intended for all clinicians who are likely to diagnose and manage 105 patients with BPPV, and applies to any setting in which BPPV would be identified, monitored, or 106 managed. The target patient for the guideline is aged 18 years or older with a suspected or 107 potential diagnosis of BPPV. The pediatric population was not included in the target population 108 in part due to substantially smaller body of evidence on pediatric BPPV. No specific 109 recommendations are made concerning surgical therapy for BPPV.

110 The guideline will focus on BPPV, recognizing that BPPV may arise in conjunction with 111 other neurologic or otologic conditions, and that the treatment of the symptom components 112 specifically related to BPPV may still be managed according to the guideline. This guideline 113 will not discuss BPPV affecting the anterior semicircular canal, as this diagnosis is quite rare and 114 its pathophysiology is poorly understood (Kim et al, 2014; Jackson et al, 2007) It will also not 115 discuss benign paroxysmal vertigo of childhood, disabling positional vertigo due to vascular loop 116 compression in the brainstem, or vertigo that arises from changes in head position not related to 117 gravity (i.e. vertigo of cervical origin or vertigo of vascular origin). These conditions are 118 physiologically distinct from BPPV.

In 2008, the American Academy of Otolaryngology-Head and Neck Surgery published a multidisciplinary clinical practice guideline: benign positional vertigo (Bhattacharyya et al, 2008). As eight years have elapsed since the publication of that guideline, a multidisciplinary guideline update group was convened to perform an assessment and planned update of that guideline utilizing the most current evidence base. Our goal was to revise the prior guideline with an a priori determined, transparent process, reconsidering a more current evidence base while also taking into account advances in knowledge with respect to BPPV.

The primary outcome considered in this guideline is the resolution of the symptoms associated with BPPV. Secondary outcomes considered include an increased rate of accurate diagnoses of BPPV, a more efficient return to regular activities and work, decreased use of inappropriate medications and unnecessary diagnostic tests, reduction in recurrence of BPPV and reduction in adverse events associated with undiagnosed or untreated BPPV. Other outcomes considered include minimizing costs in the diagnosis and treatment of BPPV, minimizing potentially unnecessary return physician visits and maximizing the health-related quality of life

- 133 of individuals afflicted with BPPV. The significant incidence of BPPV, its functional impact and
- 134 the wide diversities of diagnostic and therapeutic interventions for BPPV (Table 2) make this an
- 135 important condition for an up-to-date evidence-based practice guideline.

136 **Table 2. Interventions considered in BPPV guideline development.**

Diagnosis	clinical history
	review of the medication list
	physical examination
	Dix Hallpike (positional) testing
	Supine roll test and Bow and lean test side-lying maneuver
	post head shaking nystagmus
	audiometry
	magnetic resonance imaging
	computed tomography
	blood tests: complete blood count, serum chemistry, etc.
	frenzel lenses and infrared goggle testing
	electronystagmography
	videonystagmography
	vestibular evoked myogenic potentials
	balance and gait testing
	vestibular function testing
	computerized posturography
	orthostatic balance testing
	vestibular caloric testing
	7

Treatment	watchful waiting/observation
	education/information/counseling
	medical therapy (vestibular suppressant medications, benzodiazepines)
	cervical immobilization with cervical collar
	prolonged upright position
	patient self-treatment with home-based maneuvers or rehabilitation
	Brandt-Daroff exercises
	Epley maneuver and modifications of the Epley maneuver
	Semont maneuver
	Gufoni maneuver
	physical therapy/vestibular physical therapy
	spinal manipulative therapy
	mastoid vibration
	posterior semicircular canal occlusion (excluded from guideline)
	singular neurectomy (excluded from guideline)
	vestibular neurectomy (excluded from guideline)
Prevention	head trauma or whiplash injury as potential causative factors
	use of helmets to prevent head trauma and/or cervical collars
	fall prevention

138 HEALTHCARE BURDEN

Overall, the prevalence of BPPV has been reported to range from 10.7 to 140 per 100,000 population (Mizukoshi et al, 1984; Froehling et al, 1991, van der Zaag-Loonen et al. 2015) however studies of select patients have estimated a prevalence of 900 per 10,000 (Oghalai et al, 2000, Kollen et al, 2012, Kerrigan et al, 2013). Others have reported a lifetime prevalence of 2.4%, a one-year prevalence of 1.6% and a one-year incidence of 0.6%. (von Brevern et al, 2007) Women are more frequently affected than men with a female:male ratio of 2.2 to 1.5:1 (Neuhauser and Lempert, 2009). BPPV is also the most common vestibular disorder across the lifespan, (Parnes et al, 2003; Nedzelski et al, 1986; Neuhauser, 2007) although the age of onset is
most commonly between the fifth and seventh decades of life. (Baloh et al, 1987) Given the
noteworthy prevalence of BPPV, its health-care and societal impacts are tremendous.

149 The costs to the health-care system and the indirect costs of BPPV are also significant. It 150 is estimated that it costs approximately \$2000 to arrive at the diagnosis of BPPV and that greater 151 than 65% of patients with this condition will undergo potentially unnecessary diagnostic testing 152 or therapeutic interventions (Wang, et al, 2014). Therefore, healthcare costs associated with the 153 diagnosis of BPPV alone approach \$2 billion per year. Furthermore despite the fact that the 154 natural history of BPPV includes a spontaneous resolution rate ranging from 27 to 50%, this 155 often takes a significant amount of time and almost 86% of patients with BPPV will suffer some 156 interrupted daily activities and lost days at work due to BPPV.(von Brevern et al, 2007; Li et el, 157 2000) In addition, 68% of patients with BPPV will reduce their workload while 4% will change 158 their job and 6% will quit their job as a result of the condition (Benecke et al 2014). 159 Furthermore, BPPV is more common in older individuals with a correspondingly more 160 pronounced health and quality-of-life impact. It has been estimated that 9% of elderly patients 161 undergoing comprehensive geriatric assessment for non-balance related complaints have 162 unrecognized BPPV. (Oghalai et el, 2000). More recent studies of symptomatic individuals have 163 found BPPV to be present in 40% of geriatric patients seen for dizziness (Ekvall et al 2005; 164 Katsarkas 2008) Others have found a cumulative lifetime incidence of BPPV of approximately 165 10% with a prevalence of 3.4% of those over age 60. (von Brevern et al, 2007). 166 Older patients with BPPV experience a greater incidence of falls, depression and

167 impairments of their daily activities. (Oghalai et el, 2000) Furthermore, falls can cause secondary

168 injury including fractures or brain injury and may lead to unplanned hospital and nursing home

admission. Persistent untreated or undiagnosed vertigo in the elderly leads to increased caregiver
burden with resultant societal costs including decreased family productivity and increased risk of
nursing home placement. Among an estimated 7.0 million elderly individuals reporting
dizziness in the prior 12 months, 2.0 million (30.1%) reported vertigo and there were 230,000
office visits among the elderly with a diagnosis of BPPV. (Lin & Bhattacharyya, 2012; Lin &
Bhattacharyya, 2011) With the increasing age of the United States population, the incidence and
prevalence of BPPV may correspondingly increase over the next 20 years.

176 BPPV may be diagnosed and treated by multiple clinical disciplines. Despite its 177 significant prevalence, quality of life and economic impacts, considerable practice variations 178 exist in the management of BPPV across disciplines. (Lawson et al, 2005) These variations relate 179 to both diagnostic strategies for BPPV, timeliness of referral and rates of utilization of various 180 treatment options available for BPPV within and across the various medical specialties and 181 disciplines involved in its management. For example, the utilization of medications for the 182 treatment of BPPV vary substantially among primary care providers and across specialties (Fife 183 et al, 2005) Delays in the diagnosis and treatment of BPPV have both cost and quality-of-life 184 implications for both patients and their caregivers.

Fife and colleagues found that patients with BPPV suffer from delays in diagnosis and treatment on the order of months. (Fife et al, 2005) Other authors have found that only 10-20% of patients with BPPV seen by a physician will receive appropriate repositioning maneuvers (von Brevern 2004, von Brevern 2007). Furthermore, a large number of patients with BPPV will undergo unnecessary diagnostic testing and treatments prior to referral to a specialist. A recent study reported that 70% of patients with BPPV will undergo MRI scanning, 45% will have a CT scan and 41% will have an EKG while 53% will be treated with medications. (Grill et al, 2014) 192 Therefore, significant improvements in the diagnosis and treatment of patients with BPPV may

193 lead to significant healthcare quality improvements as well as medical and societal cost savings.

194 Such improvements may be achievable with the composition and implementation of a well-

195 constructed clinical practice guideline for BPPV.

196

197 METHODS

198 General methods and literature search

199 In developing this update of the evidence-based clinical practice guideline, the methods

200 outlined in the AAO-HNSF Guideline Development Manual, 3rd edition were followed

201 explicitly. (Rosenfeld, et al, 2013)

An executive summary of the original BPPV guideline (Bhattacharyya 2008) was sent to 202 203 a panel of expert reviewers from the fields of general otolaryngology, otology, neurotology, 204 neurology, family practice, nursing, physical therapy, emergency medicine, radiology, 205 audiology, and complimentary medicine who assessed the key action statements to decide if they 206 should be kept in their current form, revised, or removed, and to identify new research that might 207 affect the guideline recommendations. The reviewers concluded that the original guideline 208 action statements remained valid but should be updated with minor modifications. Suggestions 209 were also made for new key action statements.

An information specialist conducted two systematic literature searches using a validated filter strategy to identify clinical practice guidelines, systematic reviews, and randomized controlled trials (RCTs) published since the prior guideline (2008). Search terms used were "Benign Paroxysmal Positional Vertigo" [Mesh] OR "Benign Paroxysmal Positional Vertigo" [tab] OR

214	"Benign Positional Vertigo"[tiab] OR BPPV[tiab] OR (BPV[tiab] AND vertigo). In certain instances,
215	targeted searches for lower level evidence were performed to address gaps from the systematic
216	searches identified in writing the guideline. The original search was updated from January 2008
217	to September 2015 to include Medline, National Guidelines Clearinghouse, Canadian Medical
218	Association (CMA) Database, NHS Evidence ENT and Audiology, National Institutes for Health
219	and Care Excellence UK, Australian National Health and Medical Research Council, Guideline
220	Internal Network, Cochrane Database of Systematic Reviews, Excerpta Medica database
221	(EMBASE), Cumulative Index to Nursing and Allied Health (CINAHL), Web of Science, and
222	the Allied and Complimentary Medicine Database (AMED).
223	1. The initial search for clinical practice guidelines identified two guidelines. Quality
224	criteria for including guidelines were (a) an explicit scope and purpose, (b)
225	multidisciplinary stakeholder involvement, (c) systematic literature review, (d) explicit
226	system for ranking evidence, and (e) explicit system for linking evidence to
227	recommendations. The final dataset retained two guidelines that met inclusion criteria.
228	2. The initial search for systematic reviews identified 44 systematic reviews or meta-
229	analyses that were distributed to the panel members. Quality criteria for including
230	reviews were (a) relevance to the guideline topic, (b) clear objective and methodology,
231	(c) explicit search strategy, and (d) valid data extraction methods. The final data set
232	retained was 20 systematic reviews or meta-analyses that met inclusion criteria.
233	3. The initial search for RCTs identified 38 RCTs that were distributed to panel members
234	for review. Quality criteria for including RCTs were (a) relevance to the guideline topic,
235	(b) publication in a peer-reviewed journal, and (c) clear methodology with randomized
236	allocation to treatment groups. The total final data set retained 27 RCTs that met

inclusion criteria.

238

239	The AAO-HNSF assembled a guideline update group (GUG) representing the disciplines
240	of otolaryngology – head and neck surgery, otology, neurotology, family medicine, audiology,
241	emergency medicine, neurology, physical therapy, advanced practice nursing, and consumer
242	advocacy. The GUG had several conference calls and one in-person meeting during which they
243	defined the scope and objectives of updating the guideline, reviewed comments from the expert
244	panel review for each key action statement, identified other quality improvement opportunities,
245	and reviewed the literature search results.
246	The evidence profile for each statement in the earlier guideline was then converted into
247	an expanded action statement profile for consistency with our current development standards.
248	(Rosenfeld 2013) Information was added to the action statement profiles regarding the quality
249	improvement opportunity to which the action statement pertained, the guideline panel's level of
250	confidence in the published evidence, differences of opinion among panel members, intentional
251	vagueness, and any exclusion to which the action statement does not apply. New key action
252	statements were developed using an explicit and transparent a priori protocol for creating
253	actionable statements based on supporting evidence and the associated balance of benefit and
254	harm. Electronic decision support (BRIDGE-Wiz, Yale Center for Medical Informatics, CT)
255	software was used to facilitate creating actionable recommendations and evidence profiles
256	(Shiffman 2012).
257	The updated guideline then underwent Guideline Implementability Appraisal (GLIA) to

appraise adherence to methodologic standards, to improve clarity of recommendations, and topredict potential obstacles to implementation (Shiffman, et al 2005). The GUG received

summary appraisals and modified an advanced draft of the guideline based on the appraisal. The final draft of the updated clinical practice guideline was revised based on comments received during multidisciplinary peer review, open public comment, and journal editorial peer review. A scheduled review process will occur at five years from publication, or sooner if new compelling evidence warrants earlier consideration.

- 265
- 266 <u>Classification of evidence-based statements</u>
- 267 Classification of evidence-based statements

Guidelines are intended to reduce inappropriate variations in clinical care, to produce optimal health outcomes for patients, and to minimize harm. The evidence-based approach to guideline development requires that the evidence supporting a policy be identified, appraised, and summarized and that an explicit link between evidence and statements be defined. Evidence-based statements reflect both the *quality of evidence* and the *balance of benefit and harm* that is anticipated when the statement is followed. The definitions for evidence-based statements are listed in Tables 3 and 4.

Table 3. Strength of action terms in guideline statements and implied levels of obligation

Strength	Definition	Implied obligation
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		

Strong	A strong recommandation means the	Clinicians should follow
Strong	A strong recommendation means the	
Recommendation	benefits of the recommended approach	a strong
	clearly exceed the harms (or, in the case of	recommendation unless
	a strong negative recommendation, that the	a clear and compelling
	harms clearly exceed the benefits) and that	rationale for an
	the quality of the supporting evidence is	alternative approach is
	high (Grade A or B)*. In some clearly	present.
	identified circumstances, strong	
	recommendations may be made based on	
	lesser evidence when high-quality evidence	
	is impossible to obtain and the anticipated	
	benefits strongly outweigh the harms.	
Recommendation	A recommendation means the benefits	Clinicians should also
	exceed the harms (or, in the case of a	generally follow a
	negative recommendation, that the harms	recommendation, but
	exceed the benefits), but the quality of	should remain alert to
	evidence is not as high (Grade B or C)*. In	new information and
	some clearly identified circumstances,	sensitive to patient
	recommendations may be made based on	preferences.
	lesser evidence when high-quality evidence	
	is impossible to obtain and the anticipated	

Option	An option means that either the quality of	Clinicians should be
	evidence is suspect (Grade D)* or that	flexible in their decision
	well-done studies (Grade A, B, or C)*	making regarding
	show little clear advantage to one approach	appropriate practice,
	versus another.	although they may set
		bounds on alternatives;
		patient preference
		should have a
		substantial influencing
		role.
*Saa Tabla 1 for da	finitions of avidance grades	

276 *See Table 4 for definitions of evidence grades

**Table 4**. Aggregate grades of evidence by question type*

Grade	CEBM level	Treatment	Harm	Diagnosis	Prognosis
Α	1	Systematic review [‡] of randomized trials	Systematic review [‡] of randomized trials, nested case-control studies, or observational studies with dramatic effect [‡]	Systematic review [‡] of cross-sectional studies with consistently applied reference standard and blinding	Systematic revie of inception coh studies†
В	2	Randomized trials, or observational studies with dramatic effects or highly consistent evidence	Randomized trials, or observational studies with dramatic effects or highly consistent evidence	Cross-sectional studies with consistently applied reference standard and blinding	Inception cohort studies†
С	3-4	Non-randomized or historically controlled studies, including case- control and observational studies	Non-randomized controlled cohort or follow-up study (post- marketing surveillance) with sufficient numbers to rule out a common harm; case-series, case-control,	Non-consecutive studies, case-control studies, or studies with poor, non-independent, or inconsistently applied reference standards	Cohort study, control arm of a randomized trial case series, or ca control studies; poor quality prognostic coho study

		or historically controlled studies	
D	5	Case reports, mechanism-based reasoning, or reasoning from first principles	
X	n/a	Exceptional situations where validating studies cannot be performed and there is a clear preponderance of benefit over harm	

279 CEBM, Oxford Centre for Evidence-Based Medicine

280 *Adapted from Howick and coworkers. (2011)

²⁸¹ †A group of individuals identified for subsequent study at an early, uniform point in the course

of the specified health condition,

283 or before the condition develops

[‡]A systematic review may be downgraded to level B because of study limitations, heterogeneity,

- 285 or imprecision
- 286

Guidelines are never intended to supersede professional judgment; rather, they may be 287 288 viewed as a relative constraint on individual clinician discretion in a particular clinical 289 circumstance. Less frequent variation in practice is expected for a strong recommendation than 290 might be expected with a recommendation. Options offer the most opportunity for practice 291 variability (Eddy, 1992). Clinicians should always act and decide in a way that they believe will 292 best serve their individual patients' interests and needs, regardless of guideline 293 recommendations. Guidelines represent the best judgment of a team of experienced clinicians 294 and methodologists addressing the scientific evidence for a particular topic (AAP SCQIM, 2004). 295 296 Making recommendations about health practices involves value judgments on the

desirability of various outcomes associated with management options. Values applied by the
GUG sought to minimize harm and diminish unnecessary and inappropriate therapy. A major
goal of the panel was to be transparent and explicit about how values were applied and to
document the process.

### 301 Financial disclosure and conflicts of interest

302 The cost of developing this guideline, including travel expenses of all panel members, 303 was covered in full by the AAO-HNSF. Potential conflicts of interest for all panel members in 304 the past 5 years were compiled and distributed before the first conference call and were updated 305 at each subsequent call and in-person meeting. After review and discussion of these disclosures 306 (Choudry, et al, 2002), the panel concluded that individuals with potential conflicts could remain 307 on the panel if they: (1) reminded the panel of potential conflicts before any related discussion, 308 (2) recused themselves from a related discussion if asked by the panel, and (3) agreed not to 309 discuss any aspect of the guideline with industry before publication. Lastly, panelists were 310 reminded that conflicts of interest extend beyond financial relationships, and may include 311 personal experiences, how a participant earns a living, and the participant's previously 312 established "stake" in an issue (Detsky, 2006).

313

314 Guideline Key Action Statements

Each evidence-based statement is organized in a similar fashion: a key action statement is 315 316 in bold, followed by the strength of the recommendation in italics. Each key action statement is 317 followed by an 'action statement profile' that explicitly states the quality improvement 318 opportunity, aggregate evidence quality, level of confidence in evidence (high, medium, low), 319 benefit, harms, risks, costs and a benefits-harm assessment. Additionally, there are statements of 320 any value judgments, the role of patient preferences, clarification of any intentional vagueness by 321 the panel, exceptions to the statement, any differences of opinion, and a repeat statement of the 322 strength of the recommendation. Several paragraphs subsequently discuss the evidence base 323 supporting the statement. An overview of each evidence-based statement in this guideline can be

found in Table 5.

325 The role of patient preferences in making decisions deserves further clarification. The 326 GUG classified the role of patient preference based upon consensus among the group as "none, 327 small, moderate or large". For some statements, where the evidence base demonstrates clear 328 benefit, although the role of patient preference for a range of treatments may not be relevant 329 (such as with intraoperative decision-making), clinicians should provide patients with clear and 330 comprehensible information on the benefits in order to facilitate patient understanding and 331 shared-decision making, which in turn leads to better patient adherence and outcomes. In cases 332 where evidence is weak or benefits unclear, the practice of shared decision-making, again where 333 the management decision is made by a collaborative effort between the clinician and an informed 334 patient, is extremely useful. Factors related to patient preference include (but are not limited to) 335 absolute benefits, adverse effects, cost of drugs or procedures, and frequency and duration of 336 treatment, as well as certain less tangible factors such as religious and/or cultural beliefs or 337 personal levels of desire for intervention.

- 338
- 339 Table 5. Summary of guideline key action statements

Statement Action	Strength

		[]
1a. Diagnosis of	Clinicians should diagnose posterior semicircular	Strong
posterior canal	canal BPPV when vertigo associated with torsional,	recommendation
BPPV	up-beating nystagmus is provoked by the	
	Dix-Hallpike maneuver, performed by bringing the	
	patient from an upright to supine position with the	
	head turned 45 degrees to one side and neck extended	
	20 degrees with the affected ear down. The maneuver	
	should be repeated with the opposite ear down if the	
	initial maneuver is negative	
1b. Diagnosis of	If the patient has a history compatible with BPPV and	Recommendation
lateral	the Dix-Hallpike test exhibits horizontal or no	
(horizontal) canal	nystagmus, the clinician should perform, or refer to a	
BPPV	clinician who can perform, a supine roll test to assess	
	for lateral semicircular canal BPPV.	
2a. Differential	Clinicians should differentiate, or refer to a clinician	Recommendation
diagnosis	who can differentiate, BPPV from other causes of	
	imbalance, dizziness and vertigo.	
2b. Modifying	Clinicians should assess patients with BPPV for	Recommendation
factors	factors that modify management including impaired	
	mobility or balance, central nervous system	
	disorders, a lack of home support, and/or increased	
	risk for falling.	
3a. Radiographic	RADIOGRAPHIC testing: Clinicians should not	Recommendation
testing	obtain radiographic imaging in a patient who meets	(against)
	diagnostic criteria for BPPV in the absence of	
	additional signs and/or symptoms inconsistent with	
	BPPV that warrant imaging.	
L	1	ſ

3b. Vestibular	Clinicians should not order vestibular testing in a	Recommendation
testing	patient who meets diagnostic criteria for BPPV in the	(against)
	absence of additional vestibular signs and/or	
	symptoms inconsistent with BPPV that warrant	
	testing.	
4a. Repositioning	Clinicians should treat, or refer to a clinician who can	Strong
procedures as	treat, patients with posterior canal BPPV with a	recommendation
initial therapy	canalith repositioning procedure.	
4b. Post	Clinicians should not recommend post- procedural	Strong
procedural	postural restrictions after canalith repositioning	recommendation
restrictions	procedure for posterior canal BPPV.	(against)
4c. Observation as	Clinicians may offer observation with follow up as	Option
initial therapy	initial management for patients with BPPV.	
5. Vestibular	The clinician may offer vestibular rehabilitation,	Option
rehabilitation	either self-administered or with a clinician, in the	
therapy	treatment of BPPV.	
6. Medical	Clinicians should not routinely treat BPPV with	Recommendation
therapy	vestibular suppressant medications such as	(against)
	antihistamines and/or benzodiazepines.	
7a. Outcome	Clinicians should reassess patients within 1 month	Recommendation
Assessment	after an initial period of observation or treatment to	
	document resolution or persistence of symptoms.	
7b. Evaluation of	Clinicians should evaluate or refer to a clinician who	Recommendation
treatment failure	can evaluate, patients with persistent symptoms for	
	unresolved BPPV and/or underlying peripheral	
	vestibular or central nervous system disorders.	

8. Education	Clinicians should educate patients regarding the	Recommendation
	impact of BPPV on their safety, the potential for	
	disease recurrence and the importance of follow-up.	

•

342	1a. DIAGNOSIS OF POSTERIOR SEMICIRCULAR CANAL BPPV: Clinicians should
343	diagnose posterior semicircular canal BPPV when vertigo associated with torsional, up-
344	beating nystagmus is provoked by the Dix-Hallpike maneuver, performed by bringing the
345	patient from an upright to supine position with the head turned 45 degrees to one side and
346	neck extended 20 degrees with the affected ear down. The maneuver should be repeated
347	with the opposite ear down if the initial maneuver is negative. Strong recommendation based
348	on diagnostic studies with minor limitations and a preponderance of benefit over harm.
349	Action Statement Profile
350	• <u>Quality improvement opportunity:</u> Promoting accurate and efficient diagnosis of BPPV
351	(National Quality Strategy domains: promoting effective prevention/treatments,
352	affordable quality care)
353	• <u>Aggregate evidence quality:</u> Grade B, based on diagnostic studies with minor limitations
354	• Level of confidence in the evidence: High
355	<u>Benefits</u> : Improved diagnostic accuracy and efficiency
356	• <u>Risks, harms, costs</u> : Risk of provoking temporary symptoms of BPPV
357	• <u>Benefits-harm assessment</u> : Preponderance of benefit over harm
358	• <u>Value judgments:</u> Conclusion that paroxysmal positional nystagmus induced by the Dix-

359	Hallpike maneuver confirms the diagnosis of BPPV and is the gold standard test for
360	diagnosis. The panel emphasized that a history of positional vertigo alone is not adequate
361	to make the diagnosis of posterior canal BPPV
362	<u>Role of patient preferences:</u> Small
363	<u>Intentional vagueness</u> : None
364	• Exceptions: Patients with physical limitations including cervical stenosis, severe
365	kyphoscoliosis, limited cervical range of motion, Down syndrome, severe rheumatoid
366	arthritis, cervical radiculopathies, Paget's disease, ankylosing spondylitis, low back
367	dysfunction, spinal cord injuries, known cerebrovascular disease and the morbidly obese
368	<u>Policy level:</u> Strong recommendation
369	<u>Differences of opinion</u> : None
370	
371	Supporting Text
372	The purpose of this statement is to emphasize that clinicians should diagnose posterior
373	semicircular canal BPPV when vertigo associated with torsional, up-beating nystagmus is
374	provoked by the Dix-Hallpike maneuver (Figure 1), performed by bringing the patient from an
375	upright to supine position with the head turned 45 degrees to one side and neck extended 20
376	degrees with the affected ear down. If the testing of the first side is negative, the Dix-Hallpike
377	maneuver should be conducted with the other ear down before concluding a negative overall
378	maneuver.
379	Posterior semicircular canal BPPV is diagnosed when (1) patients report a history of
380	

381 examination, characteristic nystagmus is provoked by the Dix-Hallpike maneuver (Table 6).

382 Although most cases of BPPV are due to freely mobile calcium carbonate material within the

383 lumen of the affected semicircular canal (so-called canalolithiasis), a form of posterior canal

BPPV due to calcium carbonate material actually attached to the cupula (cupulolithiasis) may

385 occur which results in nystagmus that may persist for > 1 min. (von Brevern 2015).

386

History	Patient reports repeated episodes of vertigo with changes in head position relative to gravity
Physical Examination	<ul> <li>Each of the following criteria are fulfilled:</li> <li>Vertigo associated with torsional (rotatory), up-beating (towards the forehead) nystagmus is provoked by the Dix-Hallpike test</li> <li>There is a latency period between the completion of the Dix-Hallpike maneuver and the onset of vertigo and nystagmus</li> <li>The provoked vertigo and nystagmus increase and then resolve within 60 seconds from the onset of the nystagmus</li> </ul>

### **Table 6: Diagnostic criteria for posterior canal BPPV**

388

## 389 HISTORY

Vertigo has been defined as an "illusory sensation of motion of either the self or the surroundings". (Blakely & Goebel, 2001) The symptoms of vertigo resulting from posterior canal BPPV are typically described by the patient as a rotational or spinning sensation when the patient changes head position relative to gravity. The episodes are often provoked by every day activities and commonly occur when rolling over in bed or when the patient is tilting the head to look upward (e.g. to place an object on a shelf higher than the head) or bending forward (e.g. to tie his or her shoes). (von Brevern et al, 2007; Furman & Cass, 1999; Dix & Hallpike, 1952; 397 Whitney et al, 2005)

398 Patients with BPPV most commonly report discrete, episodic periods of vertigo lasting 399 one minute or less and often report modifications or limitations of their general movements to 400 avoid provoking the vertiginous episodes. (Ruckenstein & Shepard, 2007) Other investigators 401 report that true "room spinning" vertigo is not always present as a reported symptom in posterior 402 canal BPPV, with patients alternatively complaining of lightheadedness, dizziness, nausea, or the 403 feeling of being "off balance". (Katsarkas, 1999; von Brevern et al, 2007; Furman & Cass, 1999; 404 Herdman, 1997; Macias et al, 2000; Cohen, 2004; Haynes et al, 2002; Blatt et al, 2000; p Norre, 405 1995) Approximately 50% of patients also report subjective imbalance between the classic 406 episodes of BPPV.(von Brevern et al, 2007) In contrast, a history of vertigo without associated 407 lightheadedness may increase the a priori likelihood of a diagnosis of posterior canal 408 BPPV.(Oghalai et al, 2000) In up to one third of cases with atypical histories of positional 409 vertigo, Dix-Hallpike testing will still reveal positional nystagmus strongly suggesting the 410 diagnosis of posterior canal BPPV.(Norre, 1995) 411 Other authors have loosened the historical criteria required for a BPPV diagnosis and 412 have coined the term "subjective BPPV" without a positive Dix-Hallpike test. (Haynes et al, 413 2002; Nunez et al, 2000) However, in clinical practice there is a practical need to balance

414 inclusiveness of diagnosis with accuracy of diagnosis. Given that the majority of treatment trials
415 and systematic reviews of BPPV require both a history of episodic positional vertigo symptoms
416 and a positive Dix-Hallpike test, history alone is insufficient to render an accurate diagnosis of
417 BPPV.

### 419 PHYSICAL EXAMINATION

In addition to the historical criteria for the diagnosis of posterior canal BPPV, clinicians
should confirm the diagnosis of posterior canal BPPV by performing the Dix-Hallpike maneuver
(Figure 1).

423 The nystagmus produced by the Dix-Hallpike maneuver in posterior canal BPPV 424 typically displays two important diagnostic characteristics. First, there is a latency period 425 between the completion of the maneuver and the onset of subjective rotational vertigo and the 426 objective nystagmus. The latency period for the nystagmus onset with this maneuver is largely 427 unspecified in the literature, but the panel felt that a typical latency period would range from 5-428 20 seconds. In rare cases, the latency period may be as long as one minute (Baloh et al, 1987). 429 Second, the provoked subjective vertigo and the nystagmus increase and then resolve within 60 430 seconds from the nystagmus onset.

431 The fast component of the nystagmus provoked by the Dix-Hallpike maneuver 432 demonstrates a characteristic mixed torsional and vertical movement (often described as 433 upbeating-torsional) with the upper pole of the eye beating toward the dependent ear and the 434 vertical component beating toward the forehead (when the eyes positioned looking straight 435 forward in the mid-orbit when the provoking position is assumed) (Figure 1).(Furman & Cass, 436 1999; Honrubia et al, 1999) Temporally, the rate of nystagmus typically begins gently, increases 437 in intensity, and then declines in intensity as it resolves. This has been termed crescendodecrescendo nystagmus. After the patient returns to the upright head position, the nystagmus is 438 439 again commonly observed, and the direction of the nystagmus may be reversed. 440 Another classic feature associated with posterior canal BPPV is that the nystagmus

441 typically fatigues (a reduced nystagmus response) when the maneuver is repeated. (Dix & 442 Hallpike, 1952; Honrubia et al, 1999) However, repeating the Dix-Hallpike maneuver to 443 demonstrate fatigability is not recommended because it unnecessarily subjects patients to 444 repeated vertigo symptoms, which is discomforting. Furthermore, repeating Dix-Hallpike 445 maneuvers may interfere with the immediate bedside treatment of BPPV. (Furman & Cass, 446 1999) Therefore, the panel did not include nystagmus fatigability as a diagnostic criterion. 447 In addition to posterior canal BPPV, patients may rarely have anterior canal BPPV. Even 448 though anterior canal BPPV is uncommon accounting for 1-3 % of cases (Heidenreich 2011), it 449 is important to recognize the direction of the vertical component of the provoked torsional 450 nystagmus to make the correct diagnosis. A down-beating vertical component in addition to the 451 torsional nystagmus towards the dependent ear could imply anterior canal rather than posterior 452 canal BPPV (Casani et al 2011, Lopez-Escamez et al 2006, Heidenreich et al 2011). This 453 diagnosis should be considered with caution because down-beating positional nystagmus related 454 to brainstem or cerebellar lesion can produce a similar pattern and should be ruled out. (Fife 455 2009)

456

### 457 PERFORMING THE DIX-HALLPIKE DIAGNOSTIC MANEUVER

The Dix-Hallpike maneuver is performed by the clinician moving the patient through a set of specified head positions to elicit the expected characteristic nystagmus of posterior canal BPPV (**Figure 1**). (Furman & Cass, 1999; Dix & Hallpike, 1952) Before beginning the maneuver, the patient should be counseled regarding the upcoming movements and that they may experience a sudden onset of intense subjective vertigo, possibly with nausea, which should subside within 60 seconds. Since the patient is going to be placed in the supine position

464	relatively quickly with the head position slightly below the body, the patient should be oriented
465	so that when placed supine, the head can "hang" with support off the posterior edge of the
466	examination table by about 20 degrees. The examiner should ensure that he/she can support the
467	patient's head and guide the patient through the maneuver safely and securely, without the
468	examiner losing support or balance.
469	1. The maneuver begins with the patient in the upright seated position with the
470	examiner standing at the patient's side. (Furman & Cass, 1999) If present, the
471	patient's eyeglasses should be removed. We initially describe the maneuver to
472	test the right ear as the source of the posterior canal BPPV.
473	2. The examiner rotates the patient's head $45^{\circ}$ to the right to align the posterior
474	semicircular canal with the mid sagittal plane of the body, and with manual
475	support maintains the $45^\circ$ head turn to the right during the next part of the
476	maneuver. The patient is instructed to keep the eyes open. Fairly quickly, the
477	examiner moves the patient from the seated to the supine right-ear down position
478	and then extends the patient's neck slightly (approximately $20^\circ$ below the
479	horizontal plane) so that the chin is pointed slightly upward with the head hanging
480	off the edge of the table (supported by the examiner). The examiner observes the
481	patient's eyes for the latency, duration, and direction of the nystagmus. (Norre &
482	Beckers, 1988; White et al, 2005) Again, the provoked nystagmus in posterior
483	canal BPPV is classically described as a mixed torsional and vertical movement
484	with the upper pole of the eye beating toward the dependent ear (in this example
485	the right ear). The patient should also be queried as to the presence of subjective
486	vertigo.

487	3. After the resolution of the subjective vertigo and the nystagmus, if present, the
488	patient may be slowly returned to the upright position. During the return to the
489	upright position, a reversal of the nystagmus may be observed and should be
490	allowed to resolve.
491	4. If the initial result for the right side is negative, the Dix-Hallpike maneuver (steps
492	1-4) should then be repeated for the left side, with the left ear arriving at the
493	dependent position. (Nunez et al, 2000) Again, the examiner should inquire about
494	subjective vertigo and identify objective nystagmus, when present. This
495	completes the Dix-Hallpike test.
496	
497	The Dix-Hallpike maneuver is considered the gold standard test for the diagnosis of
498	posterior canal BPPV. (Fife et al, 2008) It is the most common diagnostic criterion required for
499	entry into clinical trials and for inclusion of such trials in meta-analyses. (Hilton & Pinder, 2004;
500	Cohen & Kimball, 2005) The lack of an alternative external gold standard to the Dix Hallpike
501	maneuver limits the availability of rigorous sensitivity and specificity data. Although it is
502	considered the gold standard test for posterior canal BPPV diagnosis, its accuracy may vary
503	between specialty and non-specialty clinicians. Lopez-Escamez et al, have reported a sensitivity
504	of 82% and specificity of 71% for the Dix-Hallpike maneuvers in posterior canal BPPV,
505	primarily among specialty clinicians.(Lopez-Escamez et al, 2000) In the primary care setting,
506	Hanley and O'Dowd have reported a positive predictive value for a positive Dix-Hallpike test of
507	83% and a negative predictive value of 52% for the diagnosis of BPPV.(Hanley & O'Dowd,
508	2002) Therefore, a negative Dix-Hallpike maneuver does not necessarily rule out a diagnosis of
509	posterior canal BPPV. Because of the lower negative predictive values, it has been suggested that

510 the Dix-Hallpike maneuver may need to be repeated at a separate visit in order to confirm the 511 diagnosis and to avoid a false negative result. (Nunez et al, 2000; Viirre et al, 2005; Norre, 1994) 512 Factors that may affect the diagnostic accuracy of the Dix-Hallpike maneuver include the 513 speed of head movements during the test, time of day, and the angle of the occipital plane during 514 the maneuver. (Nunez et al, 2000) The Dix-Hallpike maneuver, may in certain circumstances be 515 performed bilaterally in order to determine which ear(s) is(are) involved, particularly if the 516 diagnosis is not clear with the first performance of the maneuver. (Nunez et al, 2000) In a small 517 percentage of cases, the Dix-Hallpike maneuver may be bilaterally positive (i.e. the 518 correspondingly appropriate nystagmus is elicited for each ear in the dependent position). For 519 example, bilateral posterior canal BPPV is more likely to be encountered after head trauma. 520 (Katsarkas, 1999)

521 While the Dix-Hallpike maneuver is the test of choice to confirm the diagnosis of 522 posterior canal BPPV, it should be avoided in certain circumstances. Although there are no 523 documented reports of vertebrobasilar insufficiency (VBI) provoked by performing the Dix-524 Hallpike maneuver, clinicians should be careful to consider the risk of stroke or vascular injury 525 in patients with significant vascular disease. (Whitney & Morris, 2006) Care should also be exercised in patients with cervical stenosis, severe kyphoscoliosis, limited cervical range of 526 527 motion, Down's syndrome, severe rheumatoid arthritis, cervical radiculopathies, Paget's disease, 528 ankylosing spondylitis, low back dysfunction, spinal cord injuries, and morbid obesity. (Whitney 529 et al, 2005; Whitney & Morris, 2006) Patients who are obese may be difficult for a single 530 examiner to fully support the head through the maneuver and additional assistance may be 531 required. For patients with the above concerns or other physical limitations, special tilting 532 examination tables may allow the safe performance of the Dix-Hallpike maneuver. Such patients

- 533 may benefit from referral to more specialized clinicians and/or facilities with additional
- 534 resources.
- 535
- 536 Figure 1: Diagrammatic representation of performance of the Dix-Hallpike maneuver for
- 537 the diagnosis of posterior canal BPPV (adapted from Fife et al, 2008) In Panel A, the
- 538 examiner stands at the patient's right side and rotates the patient's head 45° to the right to
- 539 align the right posterior semicircular canal with the sagittal plane of the body. In Panel B,
- 540 the examiner moves the patient, whose eyes are open, from the seated to the supine right-
- 541 ear-down position and then extends the patient's neck 20° so that the chin is pointed
- 542 slightly upward. The latency, duration, and direction of nystagmus, if present, and the
- 543 latency and duration of vertigo, if present, should be noted. The arrows in the inset depict
- 544 the direction of nystagmus in patients with typical benign paroxysmal positional vertigo. A
- 545 presumed location in the labyrinth of the free floating debris thought to cause the disorder
- 546 is also shown.





548	1b. DIAGNOSIS OF LATERAL (HORIZONTAL) SEMICIRCULAR CANAL BPPV. If
549	the patient has a history compatible with BPPV and the Dix-Hallpike test exhibits
550	horizontal or no nystagmus, the clinician should perform, or refer to a clinician who can
551	perform, a supine roll test to assess for lateral semicircular canal BPPV. <u>Recommendation</u>
552	based on diagnostic studies with limitations and a preponderance of benefit over harm.
553	Action Statement Profile
554	• Quality improvement opportunity: Improve accurate and efficient diagnosis of lateral
555	canal BPPV (National Quality Strategy domains: promoting effective
556	prevention/treatment, affordable quality care)
557	• <u>Aggregate evidence quality</u> : Grade B based on several RCTs with supine roll test as the
558	reference entry standard
559	• <u>Level of confidence in evidence</u> : High
560	• <u>Benefit:</u> Avoid missed diagnoses of lateral canal BPPV. Allows accurate diagnosis of
561	lateral canal BPPV thereby avoiding unnecessary diagnostic tests and inappropriate
562	treatment. Increased awareness of lateral canal BPPV
563	• <u>Risks, harms, costs:</u> Risk of provoking temporary symptoms of BPPV
564	• <u>Benefits-harm assessment:</u> Preponderance of benefit over harm
565	• <u>Value judgments:</u> None
566	Intentional vagueness: None
567	• <u>Role of patient preferences:</u> Small
568	• Exceptions: Patients with physical limitations including cervical stenosis, severe
569	kyphoscoliosis, limited cervical range of motion, Down's syndrome, severe rheumatoid
570	arthritis, cervical radiculopathies, Paget's disease, ankylosing spondylitis, low back

571	dysfunction, spinal cord injuries, and the morbidly obese
572	<u>Policy level</u> : Recommendation
573	• <u>Differences of opinion</u> : None
574	
575	Supporting Text
576	The purpose of this statement is to clarify the diagnosis of lateral semicircular canal
577	BPPV, also called horizontal semicircular canal BPPV, determine whether it is geotropic or
578	apogeotropic type, and when possible to identify the affected side.
579	Incidence. Lateral semicircular canal BPPV is the second most common type of BPPV.
580	(Imai et al, 2005; Steenerson et al 2005; Moon et al, 2006) Several studies have cited an
581	incidence of approximately 5-22% in populations referred for evaluation and treatment of BPPV.
582	(White et al, 2005; De La Meilleure 1996; Cakir et al, 2006; Hornibrook, 2004; Han et al, 2006;
583	Caruso & Nuti, 2005; Casani 2011). The wide range of incidence of lateral semicircular canal
584	BPPV reported in the literature is probably a function of how soon after the onset of vertigo the
585	patient can be seen at each institution. Lateral semicircular canal BPPV tends to self-resolve
586	more quickly than posterior semicircular canal BPPV (Imai 2005) so clinics seeing patients after
587	more time has elapsed since symptom onset will likely see a lower percentage of the lateral
588	semicircular canal form of BPPV cases and proportionally more posterior semicircular canal.
589	Lateral semicircular canal BPPV may occur following performance of the canalith
590	repositioning procedure (e.g. Epley maneuver) for an initial diagnosis of posterior semicircular
591	canal BPPV. This transition from posterior semicircular canal BPPV to lateral semicircular
592	canal BPPV is thought to occur as freely mobile calcium carbonate material originating from
593	otoconia of the utricle moves from the posterior semicircular canal to the lateral semicircular

canal (so called "canal conversion"). Since this type of transition is possible but uncommon,
clinicians should be aware of lateral semicircular canal BPPV and its diagnosis. (White et al,
2005)

597 Distinguishing features. Lateral semicircular canal BPPV differs from the more 598 common posterior semicircular canal BPPV in two important ways. First, the nystagmus elicited 599 by the supine roll test in lateral semicircular canal BPPV is predominantly horizontal whereas the 600 nystagmus from the Dix-Hallpike test in posterior semicircular canal BPPV is upbeating and 601 torsional. Second, the vertigo and nystagmus are evoked by turning the head side to side while 602 supine (supine head roll test, Figure 2) whereas vertigo and nystagmus are induced by the Dix 603 Hallpike maneuver in the cases of posterior semicircular canal BPPV. Patients with a history 604 compatible with BPPV (that is, repeated episodes of vertigo produced by changes in head 605 position relative to gravity) who do not appear to have posterior semicircular canal BPPV by Dix Hallpike positioning, should be tested for lateral semicircular canal BPPV. The patient's 606 607 presenting symptomatic report of positional dizziness due to lateral semicircular canal BPPV is 608 often indistinguishable from posterior semicircular canal BPPV. (Steenerson et al, 2005; Fife 609 2012)

Supine head roll test (Pagnini-Lempert or Pagnini-McClure roll test). The supine head
roll test is the preferred maneuver to diagnose lateral semicircular canal BPPV. (Cakir et al,
2006; Fife 2012; Nuti et al, 1998, Casani 2011) The supine roll test is performed by initially
positioning the patient supine with the head in neutral position followed by quickly rotating the
head 90° to one side with the clinician observing the patient's eyes for nystagmus (Figure 2).
After the nystagmus subsides (or if no nystagmus is elicited), the head is then returned to the
straight face-up supine position. After any additional elicited nystagmus has subsided, the head
617 is then quickly turned 90° to the opposite side and the eyes are once again observed for618 nystagmus.

*Nystagmus characteristics of lateral canal BPPV.* Two potential nystagmus findings
may occur with this maneuver reflecting two types of lateral semicircular canal BPPV. Both
types are so-called direction changing positional nystagmus. That is, the direction of the
positional nystagmus changes with changes in the head position. (White et al, 2005; Nuti et al,
1998; Fife 2012; Tirelli & Russolo, 2004)

624

GEOTROPIC TYPE: In most cases of lateral semicircular canal BPPV, when 625 (A) 626 the patient is rolled to the pathological (affected) side there is a very intense horizontal nystagmus beating toward the undermost (affected) ear. The 627 628 nystagmus beats toward the earth and is therefore geotropic nystagmus. 629 When the patient is rolled to the healthy (non-affected) side, there is a less 630 intense horizontal nystagmus again beating toward the undermost ear (again 631 geotropic but the direction of the nystagmus has now changed). It seems 632 probable that when lateral canal BPPV exhibits this form of nystagmus, the 633 calcium carbonate debris is located in the long arm of the semicircular canal. 634 635 APOGEOTROPIC TYPE: Less commonly, the roll test results in a horizontal  $(\mathbf{B})$ 636 nystagmus beating toward the uppermost ear (apogeotropic nystagmus). 637 Upon rolling to the opposite side, the nystagmus will change direction, again

beating toward the uppermost ear. It seems likely that when lateralsemicircular canal BPPV exhibits the apogeotropic form of nystagmus, the

640

641

calcium carbonate debris is located adherent to (cupulolithiasis) or close to the ampulla of the semicircular canal. (Baloh 1993, Casani 2011)

642

643 *Identifying the affected side.* Effective treatments for lateral semicircular canal BPPV 644 are somewhat predicated on knowing which side is affected, although it is recognized that 645 determining the affected side can be complex and may require specialty referral after the initial 646 diagnosis is made. Table 7 outlines some of the methods for determining which side is affected 647 in lateral canal BPPV. The supine roll test is the most commonly utilized method for determining 648 the affected ear in therapeutic trials of lateral semicircular canal BPPV. (Steenerson et al, 2005; 649 Han et al, 2006, Lee 2007, Mandala 2013) Among the two types of lateral semicircular canal 650 BPPV, the geotropic variant is the most common and the most amenable to 651 treatment.(Steenerson et al, 2005; Nuti et al, 1998; Casani et al, 2011) Despite using some of 652 the methods described in Table 7, clear lateralization remains unclear in about 20% of cases (Lee 653 2007, Fife 2012, Hwang 2015). In such situations, one may simply treat one side and then then 654 other. Alternatively, other testing methods such as the Bow and lean procedure (Table 7) may be applied to add to the diagnosis certainty of side of involvement. 655 656 *Risk and benefit analysis.* Reports of harm or patient injury from the performance of the 657 supine roll test were not identified in the literature review although many authors simply stated 658 that patients who could not tolerate positional maneuvers were excluded. Care should also be 659 exercised in patients with the same exclusionary criteria for the Dix Hallpike maneuver. (Whitney

et al, 2005; Whitney & Morris, 2006) The benefit of performing the supine roll test is that it

allows clinicians to confirm a diagnosis of lateral semicircular canal BPPV quickly and

efficiently.(White et al, 2005; Fife et al, 2008) It also allows clinicians to more accurately and

comprehensively diagnose positional vertigo that is not due to the posterior canal whereas
without supine roll testing, patients with lateral semicircular canal BPPV might be diagnostically
missed if only traditional Dix-Hallpike testing were done. Further benefit may be realized if the
supine roll test is done and the diagnosis recognized obviating unnecessary or unhelpful
diagnostic testing.

668

669 **Figure 2** 

670



671

Figure 2: Diagrammatic views of the supine roll test. (1) indicates the patient in the starting neutral position. The patient's head is turned rapidly to the right side (2) examining for characteristic nystagmus. Then the head is returned to the face-up position (1) allowing all nystagmus to subside and then turned rapidly to the left side (3) examining once again for nystagmus. (Adapted from ¹⁹)

- Table 7. Selected methods to determine the affected ear in lateral canal BPPV.

Technique or Circumstance		Conclusion regarding the affected ear
Supine roll testing (Figure 2) reveals a		Geotropic form: the side with the strongest
direction changing nystagmus that is either		nystagmus is the affected ear;
geotropic (beating toward the ground) or		Apogeotropic form: the side opposite the
apogeotropic (beating away from the		strongest nystagmus is the affected ear.
ground) and is distinctly stronger on one side		
than the other (Nuti 2005, Lee 2007, Casani		
2011, Fife 2012)		
Posterior canal BPPV torsional upbeating		Same ear as was affected by the posterior
nystagmus converts to strongly horizontal		semicircular canal BPPV
nystagmus (lateral canal BPPV) during		
positioning (Fife 2012)		
Patient is moved from sitting to straight		Geotropic: Nystagmus beats away from the
supine facing up results in transient horizontal		affected ear
nystagmus (lying-down nystagmus*) (Casani		Apogeotropic: Nystagmus beats toward the
2011, Nuti 2005, Lee 2007, Asprella-Libonati		affected ear
2008, Koo 2006)	1	
With the patient in the straight supine		Geotropic: Nystagmus usually beats toward the
position, the patient then sits up and the head		affected ear
bends down as a "Head Pitch Test" (head-		Apogeotropic: Nystagmus beats away from the
bending nystagmus)		affected ear
(Hwang 2015, Kim 2012, Asprella-Libonati		(opposite of lying-down nystagmus.)
2008)		
Bow and lean test (BLT)* in which the		Geotropic:
direction of nystagmus is noted when the		bowing position (face down): nystagmus
patient bends the head forward facing down		toward the affected ear
(bowing) and when facing upward (leaning).		leaning position (face up): nystagmus beats
(Lee 2010, Choung 2006)		<b>away</b> from the affected ear.
		Apogeotropic: (reverse of geotropic type)
		bowing (face down): nystagmus beats away
		from the affected ear
		Leaning (face up) nystagmus: beats toward the
		affected ear.
The supine head roll test will still be needed to d	ete	

*The supine head roll test will still be needed to determine if there is a pattern of geotropic or
 apogeotropic direction changing nystagmus.

685	2a. DIFFERENTIAL DIAGNOSIS: Clinicians should differentiate, or refer to a clinician
686	who can differentiate, BPPV from other causes of imbalance, dizziness and vertigo.
687	<u>Recommendation</u> based on observational studies and a preponderance of benefit over harm.
688	Action Statement Profile
689	• <u>Quality improvement opportunity</u> : Avoid incorrect diagnosis of BPPV (National Quality
690	Strategy domain: promoting effective prevention/treatment)
691	• <u>Aggregate evidence quality</u> : Grade C, based on observational studies with limitations
692	Level of confidence in evidence: Medium
693	• <u>Benefits:</u> Prevent false positive diagnosis of BPPV when another condition actually exists
694	• <u>Risks, harms, costs:</u> Healthcare costs of referral to another clinical.
695	• <u>Benefits-harm assessment:</u> Preponderance of benefit over harm
696	<u>Value judgments:</u> None
697	Intentional vagueness: None
698	<u>Role of patient preferences</u> : Small
699	• <u>Exceptions</u> : None
700	<u>Policy level</u> : Recommendation
701	<u>Differences of opinion:</u> None
702	
703	Supporting Text
704	The purpose of this statement is to improve diagnostic accuracy of BPPV by reducing
705	misdiagnosis of other potential causes of dizziness.

706 Despite being the most common cause of peripheral vertigo, (Froehling et al, 2000) 707 BPPV is still often under-diagnosed or misdiagnosed. (von Brevern et al, 2004) Other causes of 708 vertigo which may be confused with BPPV can be divided into otologic, neurologic and other 709 entities. Among patients presenting with dizziness, the frequency of various causes depends on 710 the setting. In a German telephone survey of over 1000 patients with dizziness, BPPV accounted 711 for 8% of cases. (von Brevern 2007) In an analysis of nearly 10,000 US emergency department 712 patients with dizziness, nearly half of patients had a medical (non-vestibular and non-713 neurological) diagnosis. (Newman Toker 2008) Only a third of patients were given a vestibular-714 related diagnosis. In a British general practice setting, evaluation of patients presenting with 715 vertigo, BPPV has been found to account for 42% of cases followed by vestibular neuritis (41%), 716 Meniere's disease (10%), vascular causes (3%) and other causes (3%). (Hanley & O'Dowd, 717 2002) In subspecialty populations, BPPV accounts for 20-53% of patients referred to ENT 718 specialty clinics for dizziness. (Luscher 2014).

719 The most common diagnoses that require distinction from BPPV are listed in Table 8. These conditions require distinction from BPPV as their natural history, treatment and potential 720 721 for serious medical sequelae are significantly different from BPPV. Patients with BPPV may not 722 specifically describe true vertigo and may complain of lightheadedness or non-specific dizziness 723 and thus the clinician may need to initially consider a broader differential diagnosis. (Lawson 724 2005). BPPV has been described as occurring in conjunction with, or as a consequence of, other 725 vestibular disorders as well, such as Meniere's disease and vestibular neuritis. (Karlberg et al, 726 2000) Therefore, clinicians must consider the possibility of more than one vestibular disorder 727 being present in any patient who does not clearly have the specific symptoms of a single 728 vestibular entity.

729	Recent studies emphasize that taking a history that focuses on timing and triggers of a
730	patient's dizziness is more important that the specific descriptor that a patient uses (Newman
731	Toker 2007, Kerber 2015, Bisforff 2015, Newman Toker 2015) Timing (acute versus episodic
732	versus chronic) and triggers (discrete trigger versus spontaneous) of the dizziness and its
733	evolution over time defines four distinct vestibular syndromes. (Newman Toker 2015) (Table 9):
734	These include: an acute vestibular syndrome (AVS), triggered episodic vestibular syndrome
735	(t-EVS), spontaneous episodic vestibular syndrome (s-EVS) and chronic vestibular syndrome
736	(CVS). Each of these entities has its own differential diagnosis, with BPPV fitting the t-EVS
737	criteria given its positional trigger and brief episodic occurrences of vertigo.
738	
739	
740	OTOLOGIC DISORDERS
741	Whereas BPPV is characterized by acute, discrete episodes of brief positional vertigo
742	without associated hearing loss, other otologic disorders causing vertigo may be differentiated by
743	their clinical characteristics including temporal pattern and the presence or absence of hearing
744	loss. (Kentala & Rauch, 2003) Meniere's disease is characterized by discrete episodic attacks,
745	each attack exhibiting a characteristic clinical constellation of sustained vertigo with fluctuating
746	hearing loss, aural fullness, and tinnitus in the affected ear.(Baloh et al, 1987; Sajjadi 2008) As
747	opposed to BPPV, the duration of vertigo in an episode of Meniere's disease typically lasts
748	longer (usually on the order of hours), is typically more disabling due to both severity and
749	duration and is not triggered by any obligate head position changes. In addition, an associated
750	contemporaneous decline in sensorineural hearing is required for the diagnosis of a Meniere's
751	attack, whereas acute hearing loss should not occur with an episode of BPPV. (Thorp et al, 2003)

752 Protracted nausea and vomiting are also more common during an attack of Meniere's disease.

753 Meniere's disease would be categorized as an s-EVS.

754 Acute peripheral vestibular dysfunction syndromes (characterized as an AVS above) such 755 as vestibular neuritis or labyrinthitis present with sudden, unanticipated, severe vertigo with a 756 subjective sensation of rotational (room spinning) motion. If the auditory portion of the inner ear 757 is affected, hearing loss and tinnitus may also occur and clinically this is consistent with labyrinthitis. (Baloh, 2003) These syndromes are commonly preceded by a viral prodrome. The 758 759 time course of the vertigo is often the best differentiator between BPPV and vestibular neuritis or 760 labyrinthitis. In vestibular neuritis or labyrinthitis, the vertigo is of gradual onset, developing 761 over several hours, followed by a sustained level of vertigo lasting days to weeks. (Kentala & 762 Rauch, 2003; Kentala, 1996; Kentala et al, 1999) The vertigo is present at rest (not requiring 763 positional change for its onset) but it may be subjectively exacerbated by positional changes. 764 These acute peripheral vestibular syndromes may also be accompanied by severe levels of 765 nausea, vomiting, sweating, and pallor that are also typically sustained along with the vertigo. 766 Superior canal dehiscence syndrome (SCD) is clinically characterized by attacks of vertigo and oscillopsia (the sensation that viewed objects are moving or wavering back and forth) 767 768 often brought on by loud sounds, Valsalva maneuvers or pressure changes of the external 769 auditory canals. (Minor et al, 2001) SCD differs from BPPV in that vertigo is induced by 770 pressure changes and not position changes. SCD syndrome may also present with an associated 771 conductive hearing loss attributable to lower bone conducted thresholds for sound perception, 772 when compared to air conducted thresholds and is diagnosed via computed tomography of the 773 temporal bones, or alternatively, if available, vestibular evoked myogenic potential 774 testing..(Rosowski et al, 2004; Texiheido 2008) Given that SCD would be categorized as a s-

775 EVS, similarly to BPPV, it should be differentiated from BPPV by its characteristic pressure 776 related trigger (e.g. Valsalva). Similar to SCD, a perilymph fistula can produce episodes of 777 vertigo and nystagmus triggered by pressure, thereby allowing differentiation from BPPV. PLF 778 can occur after surgery involving the middle/mastoid or spontaneously and may be accompanied 779 by a fluctuating hearing loss. 780 Post-traumatic vertigo can present with a variety of clinical manifestations including 781 vertigo, disequilibrium, tinnitus, and headache. (Marzo et al, 2004, Hoffer 2015) These 782 symptoms can be due to damage of the peripheral or central structures and are often complicated 783 by overlay of depression or anxiety. Post-head trauma vestibular migraine has also been

described. (Fife 2015). Although BPPV is most often idiopathic, in specific cases traumatic brain
injury is associated with BPPV. (Davies et al, 1995)

786

# 787 NEUROLOGIC DISORDERS

788 One of the key issues facing clinicians attempting to diagnose the etiology for vertigo is 789 the differentiation between peripheral causes of vertigo (those causes arising from the ear or 790 vestibular apparatus) and central nervous system causes of vertigo. Although at times this may 791 be difficult, several clinical features may suggest a central cause of vertigo rather than 792 BPPV.(Labuguen, 2006; Baloh, 1998) Nystagmus findings that more strongly suggest a 793 neurologic cause for vertigo rather than a peripheral cause such as BPPV include: down-beating 794 nystagmus on the Dix-Hallpike maneuver (particularly without the torsional component), 795 direction changing nystagmus occurring without changes in head position (i.e. periodic 796 alternating nystagmus), gaze holding, direction switching nystagmus (e.g., beats to the right with 797 right gaze, and to the left with left gaze) or baseline nystagmus manifesting without provocative

maneuvers (which also could be a manifestation of vestibular neuritis apart from a neurological
cause). Failure to respond to conservative management, such as CRP or vestibular rehabilitation
should raise concern that the underlying diagnosis may not be BPPV. (Dunniway & Welling,
1998). Among the central causes of vertigo that should be distinguished from BPPV are
vestibular migraine, brainstem and cerebellar stroke or transient ischemic attacks (TIAs), and
intracranial tumors or disorders, such as multiple sclerosis.

Vestibular migraine (or migraine associated vertigo) is very common with a lifetime 804 805 prevalence of 3.2% (Lempert 2009) and may account for as many as 14% of cases of vertigo. 806 (Kentala & Rauch, 2003). Diagnostic criteria include: 1)  $\geq$ 5 episodes of vestibular symptoms 807 lasting 5 minutes to 72 hours, 2) current or history of migraine according to International 808 Headache Society Criteria,  $3 \ge 1$  migraine symptoms during at least 50% of the dizzy episodes: 809 migrainous headache, photophobia, phonophobia, visual or other aura, 4) other causes ruled out 810 by appropriate investigations. (Seemungal 2015). It is distinguishable from BPPV by virtue of 811 the diagnostic components enumerated above, which are not associated with classic BPPV. 812 Furthermore, vestibular migraine would be characterized as a s-EVS. 813 Brainstem and cerebellar stroke are dangerous causes of vertigo. (Kerber 2013) In one 814 series of 240 cerebellar strokes, 10% presented similar to a peripheral vestibular process. (Lee 815 2006) The onset tends to be more sudden than with neuritis. Physical examination will often 816 disclose other neurological findings relating to the posterior circulation such as dysarthria, 817 dysmetria, dysphagia, sensory or motor loss or findings of a Horner's syndrome. (Kerber 2013) 818 Another important cause of vertigo is posterior circulation TIA. (Blum 2015) A study of

819 1141 stroke patients, of which 24% were in the posterior circulation, showed that patients with
820 vertebrobasilar strokes had an odds ratio of 15 to have had prior posterior circulation TIA in the

90 days preceding their stroke. (Paul 2015) Half of these attacks were isolated vertigo and 8% of
the patients with vertebrobasilar stroke had a TIA of isolated vertigo. Because TIAs generally
last < 1 hour, most patients are asymptomatic on presentation; however, if they were to have</li>
symptoms and signs on presentation, they would be the same as those associated with
vertebrobasilar stroke.

826 Intracranial tumors and other brain stem lesions may rarely present with a history and 827 symptomatology similar to those of BPPV. (Dunniway & Welling, 1998). One uncommon, but 828 important, example is central paroxysmal positional vertigo, due to structural lesions (tumors, 829 strokes and MS plaques) generally in the cerebellar vermis or region of the fourth ventricle, 830 which can closely mimic BPPV. (Dunniway & Welling, 1998; Soto-Varela 2013). Multiple 831 sclerosis (MS) patients are more often female, and will nearly always have other worrisome 832 findings such as central nystagmus patterns, internuclear ophthalmoplegia and other 833 abnormalities that localize to the central nervous system. (Pula 2013). Importantly, in patients 834 with known MS, BPPV was found to be a more common cause of acute dizziness than a MS 835 flare. (Frohman 2000 Neurology)

836

### 837 OTHER DISORDERS

Several other non-otologic and non-neurologic disorders may present similarly to BPPV.
Patients with panic or anxiety disorders may complain of symptoms of lightheadedness and
dizziness. Although these symptoms are usually attributed to hyperventilation, other studies
have shown high prevalence of vestibular dysfunction in these patients. (Jacob et al, 1996;
Furman et al, 2006). Several medications, such as mysoline, carbamazepine, phenytoin,
sedatives, antihypertensive and cardiovascular medications, may produce side effects of

dizziness and/or vertigo and should be considered in the differential diagnosis.

845	Cervical vertigo has been described as vertigo arising in conjunction with degenerative
846	cervical spine disease. (Bracher et al, 2000) Cervical vertigo may produce similar symptoms to
847	BPPV due to proprioceptive abnormalities arising from cervical spine dysfunction. (Padoan et al,
848	1998) Symptoms of cervical vertigo may be triggered by rotation of the head relative to the body
849	while in an upright posture (as opposed to vertigo triggered by changes in head position relative
850	to gravity). Orthostatic (postural) hypotension also may produce episodic dizziness or vertigo.
851	The symptoms, however, are provoked by moving from the supine or sitting to the upright
852	position in distinction to the provocative positional changes of BPPV.
853	Although the differential diagnosis of BPPV is vast, most of these other disorders can be
854	further distinguished from BPPV based on the responses to the Dix-Hallpike maneuver and the
855	supine roll test. Clinicians should still remain alert for concurrent diagnoses accompanying
856	BPPV, especially in patients with a mixed clinical presentation.

858 Table 8: Basic differential diagnosis of B	PPV
------------------------------------------------	-----

BPPV, especially in patients with a mixed clinical presentation.						
Table 9. Desig differential diagnosis of PDDV						
Table 8: Basic differential diagnosis of BPPV						
Otologic disorders	Neurologic disorders	Other entities				
Meniere's disease	Vestibular migraine	Anxiety or panic disorder				
Vestibular neuritis	Posterior circulation TIA and	Cervicogenic vertigo				
Labyrinthitis	stroke	Medication side-effects				
Superior canal dehiscence	Demyelinating diseases	Postural hypotension				
syndrome	Central nervous system	Various medical conditions				
Post-traumatic vertigo	lesions	(such as toxic, infectious				
Perilymphatic fistula	Vertebro-basilar	and metabolic conditions)				
Inner ear lesions	insufficiency					
	Central positional vertigo					

# 861 **Table 9: Common causes of acute dizziness: differential diagnosis by timing and triggers**

862 category

Acute Vestibular syndrome	Triggered Episodic Vestibular syndrome	Spontaneous episodic vestibular syndrome	Chronic vestibular syndrome
Vestibular neuritis	BPPV	Vestibular migraine	Anxiety or panic
Labyrinthitis	Postural hypotension	Meniere's disease	disorder
Posterior circulation	Perilymph fistula	Posterior circulation	Medication side-
stroke	Superior canal	TIA	effects
Demyelinating diseases	dehiscence	Medication side-	Post-traumatic
Post-traumatic vertigo	syndrome	effects	vertigo
	Vertebrobasilar	Anxiety or panic	Posterior fossa
	insufficiency	disorder	mass lesions
	Central paroxysmal		Cervicogenic
	positional vertigo		vertigo
			(variable)

Acute vestibular syndrome = acute persistent continuous dizziness lasting days to weeks, and usually associated with nausea, vomiting and intolerance to head motion.

865 Triggered episodic vestibular syndrome = episodic dizziness that are triggered by specific and

- 866 obligate actions, usually a change in head or body position. Episodes generally last less than 1
- 867 minute.
- 868 Spontaneous episodic vestibular syndrome = episodic dizziness that is NOT triggered and which
- 869 can last minutes to hours.
- 870 Chronic vestibular syndrome = dizziness lasting weeks to months or longer
- 871

872	2b. MODIFYING FACTORS: Clinicians should assess patients with BPPV for factors that
873	modify management including impaired mobility or balance, central nervous system
874	disorders, a lack of home support, and/or increased risk for falling. <u>Recommendation</u>
875	based on observational and cross-sectional studies and a preponderance of benefit over harm.
876	Action Statement Profile
877	• <u>Quality improvement opportunity:</u> Decrease risks for complications from BPPV in at risk
878	populations. (National Quality Strategy domains: safety, coordination of care)
879	• <u>Aggregate evidence quality:</u> Grade C, based on observational and cross-sectional studies.
880	Level of confidence in evidence: Medium
881	• <u>Benefits</u> : Allow for management of patients with BPPV with an appropriately structured
882	comprehensive treatment plan. Identify patients at risk for falls and prevent fall related
883	injury.
884	• <u>Risks, harms, costs</u> : None.
885	• <u>Benefits-harm assessment</u> : Preponderance of benefit over harm.
886	• <u>Value judgments</u> : None.
887	• <u>Intentional vagueness</u> : Factors that modify management are intentionally vague as all
888	factors cannot be listed and individual clinical judgment is required.
889	• <u>Role of patient preferences</u> : Small.
890	• <u>Exceptions: None</u>
891	<u>Policy level:</u> Recommendation.
892	<u>Differences of opinion: None.</u>
893	

894 Supporting Text

895

896

The purpose of this statement is to consider factors that might modify treatment plans for the management of BPPV.

897 Although BPPV arises from dysfunction of the vestibular end organ, patients with BPPV 898 often concurrently suffer from comorbidities, limitations and risks that may affect the diagnosis 899 and treatment. Careful assessment of the patient with BPPV for factors that modify management 900 is essential for improved treatment outcomes and ensuring patient safety. The majority of factors 901 that may modify management of BPPV can be identified if the clinician questions patients for 902 these factors and elicits a detailed history, (Rubenstein et al, 2001) including the potential social 903 and economic impact this might have for the patient. Given that BPPV occurs most commonly in the second half of the lifespan and its 904 905 prevalence increases with age, patients suffering from BPPV often have medical comorbidities 906 that may alter the management of BPPV.(Lawson et al, 2005) In cross-sectional surveys, 907 patients with BPPV demonstrate higher rates of diabetes, anxiety, and history of head 908 trauma.(Cohen et al, 2004) Other case-control studies have also found higher relative rates of 909 migraine (34% in BPPV patients versus 10% in non-dizziness control group), history of stroke 910 (10%, BPPV patients versus 1%, controls), diabetes (14% versus 5%), and hypertension (52%

- 911 versus 22%).(von Brevern, 2007) Clinicians should assess patients with BPPV for these
- 912 co-morbidities because their presence may modify management and influence treatment913 outcomes in BPPV.

914One of the major concerns with BPPV and vertiginous conditions in general is the risk915for falls and resultant injury. (Gazzola et al, 2006; Agrawal Y et al 2009; Murdin & Schilder9162015) Data from the National Health and Nutrition Examination Survey (NHANES)

917 demonstrated a 12-fold increase in the risk for falls among older individuals who were clinically

918 symptomatic (reporting dizziness). (Agrawal Y et al 2009) Among community dwelling adults 919 over the age of 65, 1 in 3 fall each year. (Tinetti et al 1988) This creates a tremendous individual 920 and societal burden related to the health care costs of the associated injuries that occur from 921 falling. It is estimated that the costs from falls in the United States exceed \$20 billion annually. 922 (Agrawal et al., 2013). In multiple studies concerning the etiology of falls, dizziness and vertigo 923 were deemed the primary etiology 13% of the time, compared to existing balance and gait 924 problems (17%), and person-environment interactions (31%).(Rubenstein, 2006) In a study by 925 Oghalai, 9% of patients referred to a geriatric clinic for general geriatric evaluation had 926 undiagnosed BPPV, and three fourths of those with BPPV had fallen within the 3 months prior to 927 referral.(Oghalai et al, 2000) Thus, evaluation of patients with a diagnosis of BPPV should also 928 include an assessment of risk for falls.(Lawson et al, 2005) In particular, elderly patients will be more statistically at risk for falls with BPPV. An initial falls risk screening might start with 929 930 questions such as those suggested by the Centers for Disease Control and Prevention in 2015: 1) 931 Have you had a fall in the past year? How many times? Were you injured? 2) Do you feel 932 unsteady when standing or walking? 3) Do you worry about falling? A positive response to 933 questions such as these might then prompt the clinician to conduct a more detailed falls risk 934 assessment or refer to a clinician who can using tools such as the Get Up and Go test (Mathias et 935 al. 1986), Tinetti Balance Assessment (Tinetti et al 1986), Berg Balance Scale (Berg et al, 1992) 936 or others.

As noted above, comorbid conditions that occur commonly with BPPV such as a history
of stroke or diabetes should also be identified when evaluating patients with BPPV. Patients
with a history of stroke or a history of diabetes, particularly with peripheral neuropathy, may
already have a pre-existing gait, balance or proprioceptive deficit. (Casellini & Vinik, 2007;

941 Richardson, 2002; Tilling et al. 2006) The additional symptoms of BPPV may increase their risk 942 for fall and injury. Patients with visual disturbances often lack the ability to correct or 943 compensate for a balance deficit with visual cues, and may also be at increased risk for falls. 944 Possible associations between osteoporosis (osteopenia) and BPPV have also been reported. (Yu 945 et al, 2014) Patients with both conditions may be at greater risk for fractures resulting from falls 946 related to BPPV and therefore patients with combined osteoporosis and subsequent BPPV should 947 be identified and monitored closely for fall and fracture risk. Examined from a different vantage 948 point, patients with a history of recurrent falls, particularly among the elderly, should be assessed 949 for underlying BPPV as one of the potential fall precipitating diagnoses. (Jonsson et al 2004) 950 BPPV may occur in the simultaneously with other central nervous system disorders. 951 Patients should be questioned as to the presence of pre-existing central nervous system disorders that may modify the management of BPPV. BPPV may occur relatively commonly after trauma 952 or traumatic brain injury.(Hoffer et al., 2004; Motin, et al, 2005) Posttraumatic BPPV is most 953 954 likely to involve the posterior semicircular canal and studies indicate that post-traumatic BPPV is 955 significantly more likely to require repeated CRP (up to 67% of cases) for resolution as 956 compared to non-traumatic forms (14% of cases).(Gordon et al, 2004; Aron M et al 2015) 957 Because post-traumatic BPPV may be more refractory and/or bilateral thus requiring specialized 958 treatment, a history of head trauma preceding a clinical diagnosis of BPPV should be 959 elicited.(Motin et al, 2005; Ahn S-K et al 2011; Liu 2012) Although dizziness in the setting of 960 multiple sclerosis may have a wide variety of etiologies, studies of acute vertigo occurring in 961 multiple sclerosis report that a substantial number of patients may have BPPV with a positive 962 Dix-Hallpike maneuver and successful response to a canalith repositioning procedure. (Frohman 963 et al, 2003; Frohman et al, 2000) These studies support that care should be taken to not miss a

964 diagnosis of BPPV in patients with central nervous system disorders as they may be successfully965 diagnosed and treated with CRP for BPPV.

966 Finally, in a small percentage of cases, refractory or persisting BPPV may create 967 difficulties from a psychological and/or social-functional perspective for affected 968 individuals.(Gamiz & Lopez-Escamez, 2004; Lopez-Escamez et al, 2005) Outcomes studies 969 have shown that patients with BPPV exhibit a lower quality of life scores compared to the 970 normative population in multiple subscales of the Short Form-36 quality-of-life outcomes 971 instrument.(Lopez-Escamez et al, 2005; Lopez-Escamez et al, 2003) Patients who have pre-972 existing comorbid conditions may require additional home supervision in the setting of 973 BPPV.(Whitney et al, 2005) This may include counseling about the risk of falling at home or a 974 home safety assessment

# 3a. RADIOGRAPHIC TESTING: Clinicians should not obtain radiographic imaging in a patient who meets diagnostic criteria for BPPV in the absence of additional signs and/or symptoms inconsistent with BPPV that warrant imaging. <u>Recommendation against</u> radiographic imaging based on diagnostic studies with limitations and a preponderance of benefit over harm.

980 Action Statement Profile

- Quality improvement opportunity: Reduce unnecessary testing and costs, reduce
   unnecessary radiation and radiographic contrast exposure (National Quality Strategy
   domains: safety, affordable quality care)
- <u>Aggregate evidence quality</u>: Grade C, based on observational studies for radiographic
   imaging.

- 986 <u>Level of confidence in evidence</u>: Medium
- <u>Benefits:</u> Facilitate timely treatment by avoiding unnecessary testing associated with low
- 988 yield and potential false positive diagnoses. Avoid radiation exposure and adverse
- 989 reactions to testing.
- 990 <u>Risks, harms, costs</u>: None.
- 991 <u>Benefits-harm assessment</u>: Preponderance of benefit over harm.
- <u>Value judgments</u>: The panel placed heavy value in the accuracy of the BPPV diagnosis at
- the outset in that a diagnosis made by appropriate history and Dix-Hallpike is adequate to
- 994 proceed with management without further testing.
- 995 <u>Intentional vagueness</u>: None.
- 996 <u>Role of patient preferences</u>: None.
- 997 Exceptions: Patients who have separate indications for radiographic or vestibular testing
- aside from confirming a diagnosis of BPPV.
- 999 <u>Policy level:</u> Recommendation against.
- 1000 <u>Differences of opinion:</u> None
- 1001
- 1002 Supporting Text
- 1003 The purpose of this statement recommending against radiographic imaging is to
- 1004 optimize patient care, promote effective diagnosis and therapy, and reduce variations in care.
- 1005 The committee chose to focus on radiographic imaging in BPPV (as opposed to other diagnostic
- 1006 measures that can be employed) as the cost of diagnostic imaging can be significant, its use
- 1007 common and there is a body of literature available examining its use in BPPV from which to
- 1008 draw conclusions. The diagnosis of BPPV is based on the clinical history and physical

1009 examination. Routine radiographic imaging is unnecessary in patients who already meet clinical 1010 criteria for the diagnosis of BPPV (Table 6). Further radiographic may have a role in diagnosis 1011 if the clinical presentation is felt to be atypical, if Dix-Hallpike testing elicits equivocal or 1012 unusual nystagmus findings or if additional symptoms aside from those attributable to BPPV are 1013 present, suggesting an accompanying modifying central nervous system or otologic disorder. 1014 Radiographic imaging, most commonly central nervous system imaging using magnetic 1015 resonance or computed tomographic techniques, is commonly obtained in the evaluation of a 1016 primary symptom complaint of vertigo. However, routine imaging is not useful in the diagnosis 1017 of BPPV because there are no radiological findings characteristic of or diagnostic for 1018 BPPV.(Turski et al, 1996; Turski et al, 2006) This is likely due to fact that the pathology 1019 presumed to occur in BPPV within the semicircular canals occurs at a microscopic level which is 1020 beyond the resolution of current neuroimaging techniques.(Parnes et al, 2003) On a broader 1021 scale, previous retrospective reviews of elderly patients with dizziness failed to detect any 1022 significant differences in cranial MRI findings when comparing dizzy versus non-dizzy 1023 patients.(Colledge et al, 1996; Day et al, 1990). In a retrospective cohort study of 2374 patients 1024 MRI testing was not contributory to the clinical diagnosis of BPPV and neuroimaging has been shown to be of little value (Grill et al 2014). 1025

1026 Radiographic imaging of the central nervous system should be reserved for patients who 1027 present with a clinical history compatible with BPPV but who also demonstrate additional 1028 neurological symptoms atypical for BPPV. Radiographic imaging may also be considered for 1029 patients with suspected BPPV but inconclusive positional testing or in patients with other 1030 neurologic signs on physical examination that are not typically associated with BPPV. Such 1031 symptoms include abnormal cranial nerve findings, visual disturbances, severe headache, among

1032 others. It should be noted that intracranial lesions causing vertigo are rare. (Hanely et al. 2001) 1033 Potential lesions causing vertigo identifiable on central nervous system imaging include cerebro-1034 vascular disease, demyelinating disease or an intracranial mass and these findings are most often 1035 located in the brainstem, cerebellum, thalamus or cortex.(Hanely et al, 2001) In small case 1036 series, positional vertigo and nystagmus have been associated with neuro-vascular compression 1037 of the VIIIth cranial nerve, vestibular schwannoma, Arnold Chiari malformation, and a variety of 1038 cerebellar disorders.(Brandt & Dieterich, 1994; Jacobson et al, 1995; Kumar et al, 2002) 1039 In contrast to BPPV, such conditions are quite rare and typically present with additional 1040 neurologic symptoms in conjunction with the vertigo. Routine neuroimaging has not been 1041 recommended to discern these conditions from the more common causes of vertigo. (Gizzi et al, 1042 1996) The costs of routine imaging in cases of BPPV are not justified given that it does not 1043 improve diagnostic accuracy in the vast majority of BPPV cases. Therefore, neuroimaging 1044 should not be routinely used in the diagnosis of BPPV.

10453b. VESTIBULAR TESTING: Clinicians should not order vestibular testing in a patient1046who meets diagnostic criteria for BPPV in the absence of additional vestibular signs and/or1047symptoms inconsistent with BPPV that warrant testing. <u>Recommendation against vestibular</u>1048testing based on diagnostic studies with limitations and a preponderance of benefit over harm.

Action Statement Profile
Quality improvement opportunity: Reduce unnecessary testing and costs (National
Quality Strategy domains: safety, affordable quality care)
Aggregate evidence quality: Grade C, based on diagnostic studies with limitations in
referred patient populations and observational studies for vestibular testing.

1054	• <u>Level of confidence in evidence</u> : Medium
1055	• <u>Benefits</u> : Facilitate timely treatment by avoiding unnecessary testing associated with
1056	low yield and potential false positive diagnoses. Avoid patient discomfort from
1057	nausea and vomiting from vestibular testing. Reduced costs from unnecessary
1058	testing.
1059	• <u>Risks, harms, costs</u> : None
1060	• <u>Benefits-harm assessment</u> : Preponderance of benefit over harm
1061	<u>Value judgments</u> : None
1062	<u>Intentional vagueness</u> : None
1063	<u>Role of patient preferences</u> : None
1064	• Exceptions: Patients who have separate indications for vestibular testing aside from
1065	confirming a diagnosis of BPPV
1066	<u>Policy level:</u> Recommendation against
1067	<u>Differences of opinion:</u> None
1068	
1069	
1070	Supporting Text
1071	The purpose of this statement is to emphasize that patients with a history and symptoms
1072	consistent with BPPV should not routinely undergo comprehensive vestibular testing unless
1073	there are other factors or concerns that would necessitate such testing.
1074	Vestibular function testing involves a battery of specialized tests which primarily record
1075	nystagmus in response to labyrinthine stimulation and/or voluntary eye movements. The
1076	components of the vestibular function test battery identify abnormalities in ocular motility as

1077 well as deficits in labyrinthine response to position change, caloric stimulation, rotational 1078 movement, and static positions (sitting and supine). Caloric testing is an established, widely 1079 accepted technique which is particularly useful in determining unilateral vestibular 1080 hypofunction. Rotational chair testing is considered the most sensitive and reliable technique 1081 for quantifying the magnitude of bilateral peripheral vestibular hypofunction (Fife et al, 1082 2000). There are other tests which may also be considered. Postural stability testing allows 1083 for assessment of the impact of vestibular dysfunction on balance. Vestibular evoked 1084 myogenic potentials (VEMP) (ocular and cervical) provides information about the utricle and 1085 saccule, respectively. Video head impulse testing allows for assessment of the function of 1086 each semicircular canal. Some or all of these test components may be included in a vestibular 1087 test battery. These tests are useful in the evaluation of vestibular disorders that may not be 1088 evident from the history and clinical examination, and may provide information for 1089 quantification, prognostication and treatment planning (Gordon et al, 1996).

1090 The diagnosis of BPPV is based on the clinical history and physical examination with a positive result on the Dix-Hallpike test. Fortunately, this can be accomplished by a trained 1091 1092 clinician without specialized testing equipment and an appropriate canalith repositioning 1093 procedure (CRP) can be implemented immediately. In a retrospective chart review of 100 1094 consecutive patients referred for vestibular assessment, Phillips et al, (2009) estimated a 9% 1095 reduction in referrals for this specialized testing could be realized if the initial provider 1096 obtained a thorough case history and completed a Dix-Hallpike test. Comprehensive 1097 vestibular testing is unnecessary in patients who already meet clinical criteria for the 1098 diagnosis of BPPV (Table 6). This does not imply that use of video-oculographic technology

with or without recording should not be used when available to help in identification anddifferentiation of types of BPPV.

1101 Comprehensive vestibular testing may have a role in diagnosis if the clinical presentation 1102 is felt to be atypical, if Dix-Hallpike testing elicits equivocal or unusual nystagmus findings, 1103 if the diagnosis is unclear, or if additional symptoms aside from those attributable to BPPV 1104 are present, suggesting an accompanying modifying central nervous system or otologic 1105 disorder. It may also be beneficial when multiple concurrent peripheral vestibular disorders 1106 are suspected (Baloh et al, 1987; Kentala, 1996; Kentala et al, 2000).

1107 In cases of BPPV where the nystagmus findings are suggestive but not clear, there may 1108 be benefit to using video-oculographic recordings of nystagmus associated with posterior canal BPPV, as the eye can be enlarged on a screen for detail, and may be replayed for 1109 1110 further study or second opinion. In a small percentage of cases, patients with a history of positional vertigo but unclear nystagmus findings may undergo vestibular function testing. 1111 Among complex patients referred for subspecialty evaluation of BPPV, such atypical or 1112 1113 unclear nystagmus findings may approach 13% in patients with diagnoses suspicious for BPPV (Bath et al, 2000). 1114

BPPV is relatively frequently associated with additional vestibular pathology. Symptoms associated with an underlying, previously present, chronic vestibular dysfunction may persist following appropriate treatment for BPPV, even if the treatment is effective in resolving the specific complaint of positional vertigo. For example, in highly selected subsets of patients referred for subspecialty evaluation of BPPV, additional otopathology and/or vestibulopathy has been identified in 31% to 53% of BPPV patients (Baloh et al, 1987; Roberts et al, 2005;

1121 Korres & Balatsouras, 2004). Abnormalities of the cervical VEMP have been reported in 1122 25.8% to 34.8% of patients with BPPV (Hong et al, 2008; Longo et al, 2012). Lee et al. 1123 (2013) found that 50% of patients with recurrent BPPV had abnormalities on either cervical 1124 or ocular VEMP which was significantly more than the 15% of patients with non-recurrent 1125 BPPV. These VEMP abnormalities have been interpreted as suggestive of more complicated 1126 otolith dysfunction in some patients with BPPV and this negatively impacts quality of life for 1127 these patients (Hoseinabadi et al, 2015). These results have typically been measured for 1128 patients referred to specialty care centers such as audiology, neurology, or otolaryngology 1129 and may be higher than expected for patients seen by first-line, non-specialty clinicians. 1130 Vestibular disorders that have been associated with BPPV include Meniere's Disease, viral 1131 vestibular neuritis and labyrinthitis (Karlberg et al, 2000; Hughes & Proctor, 1997). 1132 Vestibular function testing may be obtained when these additional diagnoses are suspected based on signs or symptoms in addition to those of BPPV. 1133

1134 In patients with vestibular pathology in addition to BPPV, canalith repositioning 1135 procedures appear to be equally effective in resolving the positional nystagmus associated 1136 with BPPV, but complete symptom resolution is significantly less likely in this patient population. In one study, 86% of patients with BPPV without associated vestibular 1137 1138 pathology reported complete resolution of symptoms after CRP versus only 37% reporting 1139 complete resolution when additional vestibular pathology was present (Pollak et al, 2002). 1140 Thus, patients with suspected associated vestibular pathology *in addition* to BPPV may be a 1141 subset who benefit from the additional information obtained from vestibular function testing. 1142 Similarly, 25% to 50% of patients with separate recurrences of BPPV are more likely to have 1143 associated vestibular pathology (Del Rio & Arriaga, 2004; Lee et al, 2013) and therefore

patients with recurrent BPPV may be candidates for vestibular function testing which could
lead to additional targeted management

1146 In summary, patients with a clinical diagnosis of BPPV according to guideline criteria 1147 should not routinely undergo vestibular function testing because the information provided from 1148 such testing adds little to the diagnostic accuracy or subsequent management in many cases. The Dix-Hallpike test and canalith repositioning procedures can be completed by most trained 1149 1150 clinicians in a variety of healthcare settings without specialized equipment. This increases access 1151 to healthcare and decreases associated costs. Comprehensive vestibular function testing, or 1152 components thereof, is warranted in patients (1) exhibiting atypical nystagmus, (2) suspected of 1153 having additional vestibular pathology, (3) with a failed (or repeatedly failed) response to CRP 1154 or (4) with frequent recurrences of BPPV.

11554a. REPOSITIONING PROCEDURES AS INITIAL THERAPY: Clinicians should treat,1156or refer to a clinician who can treat, patients with posterior canal BPPV with a canalith1157repositioning procedure. Strong recommendation based on systematic reviews of randomized1158controlled trials and a preponderance of benefit over harm.

- 1159 Action Statement Profile
- <u>Quality improvement opportunity:</u> To promote effective treatment of posterior canal
- BPPV ((National Quality Strategy domain: promoting effective prevention/treatments)
- <u>Aggregate evidence quality:</u> Grade A, based on systematic reviews of randomized
   controlled trials.
- Level of confidence in evidence: High for otolaryngology or subspecialty settings. Lower
   in primary care settings where evidence is more limited.

1166	• <u>Benefits:</u> Prompt resolution of symptoms with a relatively low number needed	ed to treat
1167	ranging from 1 to 3 cases.	
1168	• <u>Risks, harms, costs:</u> Transient provocation of symptoms of BPPV by the pro	cedure. Risk
1169	for falls due to imbalance after the procedure. No serious adverse events rep	orted in
1170	RCTs.	$\mathbf{A}$
1171	• <u>Benefits-harm assessment:</u> Preponderance of benefit over harm.	
1172	• <u>Value judgments:</u> High value ascribed to prompt resolution of symptoms and	I the ease
1173	with which the CRP may be performed.	
1174	<u>Intentional vagueness: None</u>	
1175	• <u>Role of patient preferences:</u> Moderate.	
1176	• <u>Exceptions</u> : Patients with physical limitations including cervical stenosis, D	own's
1177	syndrome, severe rheumatoid arthritis, cervical radiculopathies, Paget's disea	ase, morbid
1178	obesity, ankylosing spondylitis, low back dysfunction, retinal detachment, ca	rotid
1179	stenosis and spinal cord injuries may not be candidates for this procedure or	may need
1180	specialized examination tables for performance of the procedure.	
1181	<u>Policy level:</u> Strong recommendation	
1182	• <u>Differences of opinion:</u> None.	
1183	Supporting Text	
1184	The purpose of this statement is to provide evidence for and promote the spe	cific use of
1185	canalith repositioning procedures (CRP) as the initial treatment to resolve symptoms	and
1186	disability secondary to posterior and lateral canal BPPV. There is high quality and c	ompelling
1187	evidence that patients diagnosed with posterior and lateral semicircular canal BPPV	should be
1188	offered expeditious treatment with CRP. These are specific and distinct from	

1189 habituation/movement exercise such as the Cawthorne-Cooksey exercises or Brandt-Daroff

1190 exercises. Treatment of BPPV with CRPs consistently eliminates the disabling vertigo and can

also improve quality of life, and reduce the risk of falling.

1192 POSTERIOR CANAL BPPV TREATMENTS

1193 There are two distinct basic types of CRP for posterior canal BPPV (1) the canalith 1194 repositioning procedure (commonly referred to as the Epley maneuver) and (2) the liberatory 1195 maneuver (commonly referred to as the Semont maneuver). Where previous therapeutic 1196 exercises were based on habituation, these maneuvers work directly on either freeing/liberating 1197 the adhered otoconia on the cupula (cupulolithiasis) and/or by moving free floating otoconia 1198 (canalithiasis) out of the involved semicircular canal and back into the vestibule. There is significant evidence for the efficacy of both procedures for BPPV in the posterior semicircular 1199 1200 canal and steadily advancing evidence for lateral semicircular canal.

1201

1202 Treatment with canalith repositioning procedure (CRP) or "Epley maneuver"

1203 CRP was first described by Epley in 1992. (Epley, 1992). Patients are moved 1204 sequentially through a series of head position changes, designed to utilize gravity to move free-1205 floating particles through the alignment of the posterior semicircular canal back into the 1206 vestibule, thereby relieving the pathologic stimulus which had been producing the vertigo in 1207 BPPV. Figure 3 depicts the CRP for posterior semicircular canal BPPV. There are over 20 1208 years of evidence to support CRP for this indication although many studies were non-1209 randomized case series (Lynn et al, 1995; Li, 1995; Lempert et al, 1997; Wolf et al, 1999; 1210 Lopez-Escamez et al, 1999; Asawavichianginda, et al, 2000; Froehling et al, 2000; Sherman & 1211 Massoud, 2001; Angeli et al, 2003; Yimtae et al, 2003; Change et al, 2004; White et al, 2005;

Woodworth et al, 2004; Teixeira & Machado, 2006). Most studies used symptom resolution as
the primary outcome, but more recently conversion to a negative provocative procedure [DixHallpike has been reported. A 2010 meta-analysis of the CRP (Prim-Espada et al 2010), found
that patients treated with CRP had a 6 1/2 times greater chance of improvement in clinical
symptoms relative to controls [OR of 6.52 (95%CI 4.17-10.20)] and similar likelihood of

1217 negative Dix-Hallpike maneuver [OR 5.19 (95% CI, 2.41-11.17)].

1218 The 2014 updated Cochrane Collaborative Review (Hilton & Pinder, 2014), included 11 1219 trials (745 patients) and reported that CRP is more effective compared to sham maneuvers or 1220 controls. Complete resolution of vertigo occurred significantly more often in the CRP treatment 1221 group when compared with sham or control [OR 4.42, (95% CI, 2.62 to 7.44)]. Conversion 1222 from a positive to a negative Dix-Hallpike was more likely in the CRP treatment group than the 1223 sham or controls [OR 9.62 (95% CI, 6.0 to 15.42)]. Importantly, a single CRP is over ten times 1224 more effective than a week of three times daily Brandt-Daroff (BD) Exercises [OR 12.38, 95% 1225 CI, 4.32 to 35.47)]. The randomized prospective clinical trial specifically cited in the Cochrane 1226 review (Amor-Dorado JC et al 2012) showed that by day 7 the Dix-Hallpike was negative in 1227 80.5% of CRP versus 25% in the BD group. Differences between the groups remained statistically significant at one month. Bruintjes (Bruintjes TD, 2014), looked at CRP versus sham 1228 1229 maneuver over long term (12 months). They found that both conversion too negative Dix-1230 Hallpike [91% versus 46% (p=0.001) and perceived disability (p=0.003) as assessed by the 1231 Dizziness Handicap Inventory (DHI) significantly favored CRP. 1232 The CRP is most commonly performed in the outpatient setting by a clinician after the

1232 The CRP is most commonly performed in the outpatient setting by a clinician after the
1233 diagnosis of posterior semicircular canal BPPV has been confirmed. (Fife et al, 2008) Patients
1234 should be informed that nausea, occasional vomiting and/or a sense of falling may arise during

1235 the CRP.

1236 (Uneri, 2005) Patients who previously manifested severe nausea and/or vomiting with the Dix-

1237 Hallpike maneuver may be offered antiemetic prophylaxis 30-60 minutes prior to CRP.

1238

1239 Treatment with the liberatory maneuver (LM) or "Semont"

1240 The liberatory (Semont) maneuver, developed by Semont et al (Semont et al, 1988), 1241 (depicted in Figure 4) utilizes both inertial and gravity forces to move patients briskly down into 1242 a side lying position (involved side) and then through a rapid 180-degree arc to their uninvolved 1243 side. As with all CRP, the LM was designed to move the debris from the posterior semicircular 1244 canal back into the vestibule by principally breaking the canaliths free from adherence to the 1245 cupula (cupulolithiasis) and/or reposition free floating canaliths (canalithiasis). Early studies 1246 looking at the LM have demonstrated its effectiveness over sham treatments with initial success 1247 rates similar to CRP (Cohen & Kimball, 2005), and better than medication treatment (Salvinelli et al, 2003) or Brandt Daroff exercises (Soto Varel et al, 2001). Recent Cochrane Collaborative 1248 1249 Review (Hilton & Pinder, 2014) showed no difference when comparing effectiveness of LM 1250 with CRP. Chen et al (Chen Y et al, 2012) demonstrated the short-term effectiveness of the LM in a double-blind randomized trial with conversion to negative Dix-Hallpike on the fourth day in 1251 1252 85% of patients treated LM versus 14% in control group (p=0.001). Some authors advocate the 1253 LM over CRP in cases of resistant BPPV, however research is lacking to demonstrate a benefit 1254 of LM in this subgroup.

1255

1256 Table 10 summarizes recent RCTs evaluating CRP for posterior semicircular canal BPPV. Of

1257 note, treatment effects between CRP and control patients tended to diminish over time. *The* 

1258 majority of RCTs for CRP continue to take place in specialized or tertiary clinical settings, which 1259 may limit the generalizability of these results. For example, in the Munoz 2007 RCT, 1260 investigators were unable to demonstrate a significant benefit for the CRP based on symptomatic 1261 outcome in a primary care setting, although the conversion to a negative Dix-Hallpike at one 1262 week was more likely in the CRP group than those treated with sham maneuvers (Munoz et al, 1263 2007). Since both the symptomatic response rates and conversion rates to a negative Dix-1264 Hallpike maneuver are lower than those reported in specialty setting RCTs, further investigation 1265 into the effectiveness of the CRP in the primary care setting is warranted. 1266 Considerable variability exists in terms of the number of times the CRP is applied for the initial treatment of BPPV, even across RCTs (Froehling et al, 2000; Lynn et al, 1995; Yimtae et 1267 1268 al, 2003). Some investigators perform only one CRP cycle at the initial treatment whereas others 1269 repeat a fixed number of cycles or perform the CRP repeatedly until the vertiginous symptoms extinguish or the Dix-Hallpike converts to negative. (Lynn et al, 1995) Even further variability 1270 1271 exists among published case series for CRP. (Ruckenstein, 2001; Sekine et al, 2006; Prokopakis 1272 et al, 2005). A rapid systematic review in 2014 (Reinink, 2014) concluded that multiple studies 1273 with high relevance and moderate risk of bias show a benefit of multiple treatments with the 1274 CRP in patients with BPPV who are not fully cleared. Specifically, in studies reviewed, 32%-1275 90% of patients cleared in the first treatment session, 40-100% after second treatment session, 1276 67%-98% after the third treatment session, 87%-100% after the fourth treatment session, and 1277 100% in studies in which patients received 5 treatment sessions. Based on a review of the 1278 literature, it was not possible to determine the optimal number of treatments with the CRP 1279 however there is a demonstrated beneficial effect of multiple treatment sessions in patients with 1280 persistent nystagmus following the initial maneuver.

1281 With respect to complications of treatment, CRP is associated with mild and generally 1282 self-limiting adverse effects in about 12% of those treated. (Fife et al, 2008) Some patients may 1283 experience an immediate falling sensation within 30 minutes after the maneuver and may benefit 1284 from counseling prior to the maneuver (Ear Nose Throat J. 2005 Feb;84(2):82, 84-5.). Serious 1285 complications from the CRP have not been identified in multiple randomized controlled trials. 1286 The most commonly encountered complications include nausea, vomiting, fainting and conversion to lateral canal BPPV during the course of treatment (so called "canal switch or 1287 1288 conversion"). Canal conversion occurs in about 6-7% of those treated with CRP (Yimtae et al, 1289 2003; Herdman & Tusa, 1996) underscoring the importance of recognizing the lateral canal 1290 variant of BPPV and need for more unique and different CRP. Another potential side effect 1291 after the CRP is postural instability that can last 24 hours with a tendency to fall backwards or 1292 forwards. Anecdotally, several investigators have suggested that the CRP should be applied 1293 cautiously in patients with cervical spine disease, certain vascular conditions, retinal detachment 1294 and other contraindications to its performance. (Sridhar & Panda, 2005)

1295

# 1296 LATERAL (HORIZONTAL) SEMI-CIRCULAR CANAL BPPV CRP TREATMENTS

Evidence is mounting for the effectiveness of unique repositioning procedures based on semi-circular canal involvement. Although such evidence exists, the complexities associated with determining the affected side and subtype (geotropic versus apogeotropic) of the lateral canal BPPV may limit the ease of applicability of such procedures since it is paramount to determine the sidedness prior to CRP treatment in lateral canal BPPV. Nonetheless, the panel felt that information on the use of these procedures would be valuable to include as the panel anticipated increased knowledge of this type of BPPV over the next guideline update cycle. 1304 Given that any CRP for BPPV is a direct application of anatomy of the semi-circular canal with 1305 respect to gravity, lateral semicircular canal BPPV is usually unresponsive to canalith 1306 repositioning procedures used for posterior semicircular canal BPPV, but is being found 1307 responsive to other maneuvers intended to move the displaced otoconia in the unique plane of 1308 the lateral semicircular canal. Lateral semicircular canal BPPV exists in two forms, geotropic 1309 form or apogeotropic. The best researched and most clinically responsive form is the geotropic 1310 form. CRP effectiveness specific to the lateral semicircular canal were initially described in 1996 1311 (Lempert & Tiel-Wilck, 1996; Herman & Tusa, 1996; Fife, 1998) with the first maneuver 1312 reported as 270-360 degree "Barbeque roll" in the plane of the lateral semicircular canal (White 1313 et al, 2005; Prokopakis et al, 2005). (Figure 5) A subsequent maneuver, termed the Gufoni 1314 maneuver, was developed by Gufoni in 1998 (original publication in English by Appiani and 1315 colleagues in 2001(Appiani GC et al 2001), which involves laying sideways onto the uninvolved 1316 side and then turning the head into the terminal nose down position. (Figure 6) As with the CRP 1317 for the posterior semicircular canal, either maneuver may be performed in the outpatient setting after a diagnosis of lateral semicircular canal BPPV has been made with the supine roll test 1318 1319 (Figure 2).

Several cohort studies and case series have reported response rates from 50% to 100% using the barbecue roll maneuver to treat lateral semicircular canal BPPV (geotropic form) (White et al, 2005; Fife et al, 2008; Nuti et al, 1998; Tirelle & Russolo, 2004; Casani et al, 2002; Prokopakis et al, 2005; Fife, 1998; Lempert & Tiel-Wilck, 1996; Appiani et al, 1997; Asprella Libonati, 2005; Chiou et al, 2005). Lateral semicircular canal BPPV may spontaneously remit more quickly than other forms of BPPV. (Moon et al, 2006; Sekine et al, 2006). There have also been several recent randomized controlled studies on both forms of lateral semicircular canal

1327 BPPV. (Casani, 2011; Kim JS et al, 2012; Kim JS et al, 2012b; Van den brock, 2014) Casani 1328 (Casani et al 2011) demonstrated the effectiveness of these two types of CRP's in treating the 1329 geotropic form of lateral semicircular canal BPPV, comparing the results of the barbecue 1330 maneuver plus forced prolonged positioning (resting in bed for at least 12 hours with the head 1331 turned toward the unaffected ear) versus the Gufoni maneuver in a randomized prospective 1332 clinical trial with 81% success versus 93%, respectively, as determined by absence of vertigo 1333 and nystagmus on the supine roll test at follow-up examination. A study by Kim in 2012 for 1334 geotropic lateral semicircular canal BPPV with 170 consecutive patients in 10 nationwide 1335 dizziness clinics in Korea (Kim JS et al 2012), reported that after a maximum of 2 maneuvers on 1336 the initial visit day, both the barbeque roll and Gufoni maneuver were better than sham 1337 maneuvers at both one hour and one month after treatment (69%, 61%, and only 35% 1338 respectively). In the Kim study for the apogeotropic lateral semicircular canal BPPV (Kim JS et 1339 al 2012b) statistically significant results were also noted for specific CRP (modified Gufoni or 1340 therapeutic headshaking) over sham maneuvers at 73%, 62%, and only 35% for both immediate 1341 and long-term outcomes. A recent systematic review of the Gufoni maneuver for the treatment of 1342 geotropic form of lateral semicircular canal BPPV (Van den brock et al, 2014), found the Gufoni maneuver was easy to perform and more effective than sham maneuver or vestibular 1343 1344 suppressants.

Forced prolonged positioning, as mentioned in the previously discussed Casani study, is another treatment that has been found effective for lateral semicircular canal BPPV. This involves laying for an entire night on the uninvolved side (for the geotropic form) or the involved side (for the Apogeotropic form). It may be performed either alone or concurrently with other maneuvers (Casani, 2011). The effectiveness based on case series ranged from 75-90%. (Casani

et al, 2002; Appiani et al, 1997; Chiou et al, 2005; Vannucchi et al, 1997) Other lesser-kr	lesser-known
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1351 maneuvers such as the Vannucchi-Asprella liberatory maneuver (Asprella Libonati, 2005;

1352 Appiani et al, 2005,) have also been reported as effective in uncontrolled studies.

- 1353 In summary, variations of the barbecue roll maneuver or Gufoni maneuver appear
- 1354 moderately effective for the geotropic form of lateral semicircular canal BPPV. Other methods
- are not supported by randomized controlled trials. For the apogeotropic form of lateral

1356 semicircular canal BPPV, there is only a single randomized control trial (Kim, 2012) providing

1357 insufficient evidence to recommend a preferred CRP.

1358

# 1359 SELF-ADMINISTERED CRP

1360 CRP (Epley) and the liberatory maneuver have both been modified for selfadministration by patients for the treatment of BPPV (Radtke et al, 1999; Radtke et al, 2004). 1361 1362 Self-administered CRP appears to be more effective (64% improved) than self-treatment with 1363 Brandt Daroff exercises (23% improvement) (Radtke et al, 1999) Another trial reported that self-1364 administered CRP (Epley) resulted in 95% resolution of positional nystagmus 1 week after 1365 treatment compared to 58% for patients self-administered liberatory maneuver (Semont) 1366 maneuver (p < 0.001). (Radtke et al, 2004). No comparison studies have been published from 1367 which to make recommendations regarding self-treatment versus clinician-administered treatment of BPPV. 1368 1369 Table 10: RCTs evaluating the effectiveness of Epley vs. control/placebo; or Epley vs. Brandt-

1370 Daroff or Semont for posterior canal BPPV

Reference	Time point of assessment	Improved in treatment group n/ N	Improved in control group n/ N	Endpoint	P value	Odds Ratio (95% CI)
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Amor Dorado 2012	1 week	33/41 (80%) Epley	10/40 (25%) BD	Negative Dix- Hallpike: Epley vs BD exercises	P<0.001	12.38 [4.34, 35.47]	
	1 month	92.00%**	42.50% **	Negative Dix- Hallpike: Epley vs BD exercises	<i>p&lt;0.001</i>	F	
Bruintjes 2014	12 months	20/22 (91%)	10/22 (45%)	Negative Dix- Hallpike: Epley vs control or placebo	p<0.001	12.00 [2.24, 64.28]	
	1 month	21/22 (96%)	8/22 (36%)	Negative Dix- Hallpike: Epley vs control or placebo	p<0.001		
Froehling 2000	1-2 weeks	16/24 (67%)	5/26 (19%)	Negative Dix- Hallpike:( Epley vs control or placebo)	P=0.020	3.20 [1.00, 10.20]	
Liang 2010	7 days	42/43 (98%)	34/44 (77%)	cured*(E pley vs control or placebo)	p<0.05	12.35 [1.51, 101.36]	
Lynn 1995	2 weeks	16/18 (89%)	4/15 (27%)	Negative Dix- Hallpike:( Epley vs control or placebo)	P<0.033	22.00 [3.41, 141.73]	
-----------------------------------------------	-----------	----------------------	--------------------------	----------------------------------------------------------------------	----------------	-----------------------------	--
Mazoor 2011	1 week	22/30 (73%) Epley	21/30 (70%) Semont	Negative Dix- Hallpike: (Epley vs Semont)	<i>p</i> =0.08	1.18 [.38, 3.63]	
	4 weeks	28/30 (93%) Epley	25/30 (83%) Semont	Negative Dix- Hallpike: (Epley vs Semont)	P=0.30		
Munoz 2007 (Primary care setting)	Immediate	13/38 (34%)	6/41 (14%)	Negative Dix- Hallpike:( Epley vs control or placebo)	p=0.04	3.03 [1.01, 9.07]	
von Brevern 2006	24 hours	28/35 (80%)	3/31 (10%)	Negative Dix- Hallpike:( Epley vs control or placebo)	P<0.001	37.33 [8.75, 159.22]	
Xie 2012 (Primary care setting)	7 days	54/58 (93%)	11/45 (24%)	Cured*:( Epley vs control or placebo)	p<0.05	41.73 [12.29, 141.65]	
Yimtae 2003	1 week	22/25 (88%)	13/20 (65%)	Negative Dix- Hallpike: (Epley vs control or placebo)	P=0.005	3.95 [0.87, 17.99]	

	4 weeks	16/25 (64%)	7/20 (35%)	Negative Dix- Hallpike: (Epley vs control or placebo)	P=0.336	3.3 [1.0 - 11.3]	
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1372 RCT: Randomized Controlled Trials

1373

- 1374 BD: Brandt Daroff
- 1375 CI: Confidence
- 1376 OR: Odds ratio
- 1377 *Cured: outcomes reported as a composite measure of symptom resolution and Hallpike test
- 1378 result)
- 1379 **: Raw values not given in article
- 1380 All RCTs completed in secondary or tertiary care otolaryngology settings except where
- 1381 designated
- 1382

A S A Holenny Holenny
Figure 2. Depiction of the conclith non-criticating monourous (Enlow monouron) for wight a

- 1385 Figure 3: Depiction of the canalith repositioning maneuver (Epley maneuver) for right ear
- 1386 posterior semicircular canal BPPV (refer to table 11 for description).

- 1392Table 11: Stepwise sequence for the performance of the canalith repositioning maneuver
- 1393 (see Figure 3)

Step	Action

1	The patient is placed in the upright position with the head turned 45° toward the
	affected ear (the ear that was positive on the Dix-Hallpike testing).
2	The patient is rapidly laid back to the supine head-hanging 20 $^{\circ}$ position, which is
	then maintained for 20-30 seconds.
3	Next, the head is turned 90° toward the other (unaffected) side and held for about
	20 seconds.
4	Following this, the head is turned a further 90° (usually necessitating the patient's
	body to also move from the supine position to the lateral decubitus position) such
	that the patient' head is nearly in the facedown position. This is also held for 20-30
	seconds.
5	The patient is then brought into the upright sitting position, completing the
	maneuver.



## 

- 1399 Figure 4. Semont Liberatory Maneuver for treatment of right posterior semicircular canal BPPV
- 1400 (see Table 12 for description).

## **Table 12: Stepwise description of the performance of the Semont liberatory maneuver**

1403 (right ear affected)

Step	Description
1	Start with the patient sitting on a table or flat surface with head turned away from the
	affected side.
2	Quickly put the patient into the side-lying position, toward the affected side with the head
	turned up. Nystagmus will occur shortly after arriving at the side-lying position. Keep the
	patient in this position until at least 20 seconds after all nystagmus has ceased (some
	recommend up to 1-2 minutes).

3	Quickly move the patient back up and through the sitting position so that he or she is in the
	opposite side-lying position with head facing down (head did not turn during the position
	change). Keep the patient in this position for about 30 seconds (some recommend 2-10
	minutes).
4	At a normal or slow rate, bring the patient back up to the sitting position.



- 1408
- 1409 Figure 5. The Lempert 360-degree roll maneuver (sometimes referred to as the barbecue roll
- 1410 maneuver) for the treatment of right lateral SSC BPPV-geotropic type.
- 1411

1412 Table 13: Stepwise description of the performance of the Lempert 360° roll maneuver

1413 (barbecue roll maneuver) for the treatment of right lateral canal BPPV-geotropic type

Step	Description*
1	Starting from the supine position OR
2	Some recommend rolling to start on the involved side

3	Roll his/her head (or full body) to the unaffected side.
4	Keep rolling in the same direction until his/her head is completely nose down or
	prone. Some recommend ending the maneuver here and returning to sit (270-
	degree roll) as anatomically the debris is repositioned.
5,6,7	As originally published, however, complete the final roll (full 360) and return to
	sitting.
*Each po	sition pictured is held for 15-30 seconds or until nystagmus stops.



1419 Figure 6. Gufoni maneuver for treatment of right-sided lateral semicircular canal BPPV-

- 1420 geotropic type (see Table 14 for description).
- 1421

# 1422 Table 14: Gufoni maneuver for treatment of right-sided lateral semicircular canal BPPV-

# 1423 geotropic type.

Step	Description		
1	The patient is taken from the sitting position to the straight side lying position on		
	the unaffected side for about 30 seconds.		
2	Then patient's head is quickly turned toward the ground 45-60 degrees and held in		
	position for 1-2 minutes.		
3	The patient then sits up again with the head held toward the left shoulder until		
	fully upright and then may be straightened.		

1424



- 1426
- 1427 Figure 7. Gufoni maneuver for treatment of right-sided lateral semicircular canal BPPV-
- 1428 Apogeotropic type (See Table 15 for description).
- 1429
- 1430 Table 15: Gufoni maneuver for treatment of right-sided lateral semicircular canal BPPV-
- 1431 Apogeotropic type.

Step	Description

1	The patient is taken from the sitting position to the straight side lying position on
	the affected side (right side in this instance) for about 30 seconds
2	From this point there are <u>2 variations of this maneuver</u> that have been utilized,
	based on the possibility that debris can be on either on the on the utricular <b>OR</b> the
	canal side of the cupula (or just lodged in the anterior arm of the lateral
	semicircular canal).
3	(Pictured here) The patient's head is then quickly turned toward the ground 45-
	60 degrees and held in position for 1-2 minutes which would free the debris from
	the utricular side of the cupula. The patient then sits up again with the head held
	toward the left shoulder until fully upright and then may be straightened.
	(Not pictured): In variation 2, move the patient's head NOSE UP 45-60 degrees
	and held in that position for 1-2 minutes that would free the debris from the canal
	side of the cupula (or from being lodged in the anterior arm of the lateral
	semicircular canal).
4b. POST	<b>F-PROCEDURAL RESTRICTIONS: Clinicians should not recommend</b>
post- pro	cedural postural restrictions after canalith repositioning procedure for posterior
canal BP	PV. Strong recommendation against restrictions based on randomized controlled
rials with	h minor limitations and a preponderance of henefit over harm

1438 trials with minor limitations and a preponderance of benefit over harm.

1440	Action Statement Profile
1441	• <u>Quality improvement opportunity:</u> Avoidance of unnecessary interventions, engaging
1442	patients, decreasing use of ineffective treatments (National Quality Strategy domain:
1443	coordination of care)
1444	<u>Aggregate evidence quality</u> : Grade A
1445	Level of confidence in evidence: High
1446	• <u>Benefits</u> : Faster return to normal lifestyle, reduced anxiety, less sleep or work
1447	interruption, reduced musculoskeletal discomfort, reduced cost (e.g., of cervical collars)
1448	• <u>Risk, harm, cost:</u> Potential risk for increased failure risk in a small subset of patients
1449	<u>Benefit-harm assessment:</u> Preponderance of benefit
1450	<u>Value judgments</u> : None
1451	• Intentional vagueness: The generic term restrictions is used but that can include sleeping
1452	upright, laying on the involved side, use of a cervical collar, or any type of restriction
1453	<u>Role of patient preferences:</u> Small
1454	• <u>Exclusions:</u> None
1455	<u>Policy level</u> : Strong Recommendation Against
1456	• <u>Differences of opinion</u> : Several panel members had only medium confidence in the
1457	evidence
1458	Supporting Text
1459	The purpose of this statement is to emphasize that clinicians should not routinely apply
1460	postural restrictions to patients following CRP for posterior semicircular canal BPPV.
1461	As canalith repositioning maneuvers grew in acceptance as a favored treatment choice for

1462 BPPV, clinicians often advised patients regarding various post-maneuver restrictions. The 1463 rationale has been that mobile otoconial debris returned to the vestibule during treatment may 1464 move back into the semicircular canal if patients do not carefully avoid certain movements and 1465 positions. The actual restrictions vary among clinicians and even among reports describing 1466 research in this area. Common restrictions include avoidance of the following: sleeping without 1467 elevation of the head, sleeping with the treated ear in a dependent position, vertical head 1468 movement, etc. Soft cervical collars have been used to help remind patients to avoid certain head 1469 movements. Again, there is lack of clarity on exactly which positions and head movements 1470 should be avoided or for how long these limitations should be recommended. Some authors have 1471 reported that complications including neck stiffness are observed when patients are given these 1472 types of restrictions (De Stefano et al, 2011).

1473 Comparison of studies, in particular the treatment arms for RCTs, reveals similar
1474 response rates whether or not post-treatment postural or activity restrictions are observed (i.e.,
1475 Massoud & Ireland, 1996; Roberts et al, 2005; De Stefano et al, 2011; Balikci & Ozbay, 2014)
1476 There are at least nine investigations which indicate no effect. There are two investigations that
1477 report statistically significant benefit of using post-maneuver restrictions (Cohen & Kimball,
1478 2004; Cakir et al, 2006).

Devaiah and Andreoli (2010) conducted a meta-analysis using data from six investigations with 523 patients meeting all inclusion criteria. Using this analysis, they found no effect when outcome of the patients from the two groups were compared. The authors state their findings contradict recommendations that post-maneuver head restrictions are necessary to maintain the effectiveness of BPPV maneuvers. This finding contrasts with a more recent systematic review by Hunt et al, 2012 which identified nine studies for further analysis of effects 1485 of postural restrictions on BPPV treatment efficacy. They included data from 528 patients from 1486 the nine trials. Their results indicated benefit of using postural restrictions which provided a 1487 statistically significant improvement in outcome when the pooled data were considered. Still, the 1488 authors note a small effect size and state the statistically significant effect only highlights a small 1489 improvement in treatment efficacy. Since this report was published, there have been two 1490 additional investigations which report no significant effect of post-maneuver restrictions on 1491 BPPV treatment outcome (Toupet et al, 2012; Balikci & Ozbay, 2014). 1492 Overall, there is insufficient evidence to recommend post-maneuver restrictions for most patients with posterior semicircular canal BPPV who are treated with a CRP. The clinician must 1493 1494 bear in mind that these published investigations specifically excluded patients with BPPV and 1495 concomitant vertiginous disorders such as Meniere's disease, migraine, vestibular neuritis, etc. 1496 Patients with bilateral and/or multicanal involvement were also excluded. There is a small subset 1497 of patients with BPPV who will present with frequently recurring BPPV. That group was also not investigated in these reports. It is possible some of these groups may benefit from post-1498 1499 maneuver restrictions and this may be considered by the clinician in select cases.

### 1500 4c. OBSERVATION AS INITIAL THERAPY: Clinicians may offer observation with

follow up as initial management for patients with BPPV. <u>Option</u> based on data from cohort
and observational studies with heterogeneity and a relative balance of benefits and harms.

1503 Action Statement Profile

 Quality improvement opportunity: Decreased costs due to less intervention and incorporating patient preferences. (National Quality Strategy domains: engaging patients, affordable quality care)

1507	• <u>Aggregate evidence quality</u> : Grade B, based on control groups from RCTs and
1508	observational studies with heterogeneity in follow-up and outcomes measures.
1509	• <u>Level of confidence in evidence</u> : High
1510	• <u>Benefits:</u> Symptom resolution in 15-85% at one month without intervention.
1511	• <u>Risks, harms, costs:</u> Prolonged symptoms compared to other interventions that may
1512	expose patients to increased risks for falls or lost days of work. Indirect costs of delayed
1513	resolution compared to other measures.
1514	• <u>Benefits-harm assessment:</u> Relative balance of benefits and harms.
1515	• <u>Value judgments</u> : The panel felt strongly in favor of treatment with CRP rather than
1516	observation, particularly with respect to the value of an expedited time to symptom
1517	resolution. The panel felt that observation for older patients, patients with preexisting
1518	balance disorders or in individuals at high risks for falls may not be suitable for
1519	observation.
1520	• <u>Intentional vagueness</u> : Definition of follow up is not explicitly specified.
1521	• <u>Role of patient preferences</u> : Large.
1522	• <u>Exceptions</u> : None.
1523	<u>Policy level:</u> Option
1524	• <u>Differences of opinion</u> . Some panel members thought that this option was not the optimal
1525	choice for management given the data for other interventions.
1526	
1527	Supporting Text
1528	The purpose of this statement is to provide evidence and rationale for the use of
1529	"observation" as a treatment option for patients with known BPPV, including the use of waiting

times prior to canalith repositioning procedure (CRP) for acute episodes or recurrences of BPPV, especially when contra-indications to treatments or a history of adverse consequences from prior treatments for BPPV are present or as per stated preferences by the patient. Delaying referrals for specialty evaluations and/or vestibular rehabilitation are also included within the category of "observation", until such time that they are mutually agreeable with all involved.

1535 "Observation" may be defined as a "watchful waiting", or not immediately utilizing 1536 specific therapeutic interventions for a given disease or medical condition. Observation is 1537 typically considered when the course of the disease or condition is self-limited, and/or when it is 1538 likely to be benign, perhaps with limited sequelae as a result of no active intervention. In BPPV, 1539 observation implies that therapeutic interventions, such as vestibular rehabilitation and/or CRP, 1540 will also be withheld, thereby anticipating a natural and spontaneous improvement of the 1541 symptoms and severity of BPPV. With a course of observation, patients may still be instructed to avoid activities that may increase the risk of injury (e.g., falls), until symptoms either resolve, 1542 1543 or until the patients are re-assessed clinically for symptom resolution.

1544 In order to consider observation as an option in the management of BPPV, the natural history of BPPV needs to be understood. BPPV is a common, often self-limiting condition, but 1545 1546 it can be either acute as a single episode, chronic and/or persisting. Although BPPV can 1547 manifest along all ages of the lifespan, it is relatively rare in children with steady and dramatic 1548 increase after age 40. Prevalence in patients over the age of 60 is 7x greater than ages 18-39. 1549 (von Brevern et al 2007). The cumulative lifetime incidence of BPPV was almost 10% by age 1550 80 in one population-based survey from Germany, although the diagnoses were made by historic 1551 criteria alone, with no confirmation by the Dix-Hallpike maneuver. (Von Brevern, et al., 2007) 1552 The natural history of BPPV is usually one of eventual resolution of symptoms in most patients. 1553 In several studies, the spontaneous rate of symptomatic resolution of BPPV ranges from 27-38%

1554 (Hilton 2014). Similarly, review of a recent commentary in a Cochrane Report states, the

1555 "...successful resolution of BPPV with no treatment except observation in 35% - 50% of patients

1556 indicates the rate of spontaneous recovery as part of the natural history of this condition."

1557 (Burton, 2012)

1558 Adverse effects associated with CRP may influence decisions to avoid or delay treatment 1559 for BPPV, in favor of observation. However, adverse effects from CRP are infrequently 1560 reported. There are usually no serious adverse effects of treatment reported, although the rates of 1561 nausea during the repositioning maneuver varied from 16.7% to 32%. (Hilton & Pinder, 2014) In addition, some patients were unable to tolerate CRP because of cervical spine problems, while 1562 1563 others complained of headache or pain in the neck after treatments. Patients with any of the relative contraindications cited elsewhere in this report, including cervical spondylosis, known 1564 1565 cervical disk disease, and/or unstable cardiac conditions, may be candidates for observation 1566 rather than active treatment.

1567 There was no consensus present among the guideline panel members regarding the 1568 optimal duration of observation for patients with symptomatic BPPV. However, the panel 1569 strongly favored initial treatment with CRP, particularly in subsets of patients who are either at 1570 higher risk for falls or are reporting more disabling symptoms given the high success rates 1571 detailed in section 4a. For example, there is evidence in the elderly, the most common age group 1572 to experience BPPV, that BPPV has not only a significant impact on health-related quality of life 1573 that improves with CRP (Gamiz, 2004), but that unrecognized (or untreated) BPPV has 1574 significant associated morbidity (impaired ADL/IADL capacity and fall prevalence at 78% 1575 versus 35%, p=0.026 with odds ratio of 6.2 (95% CI 1.2-31). (Oghalai, et al 2000) Additionally,

1576 BPPV can be a triggering event for more chronic disabling dizziness in patients who are more 1577 distraught/anxious (Heinrichs et al, 2007) for which timely treatment is indicated. Widespread 1578 adoption of CRP for treatment of BPPV has yet to be seen, despite CRP's documented efficacy. 1579 Some authors are already citing the poor utilization of CRP as indicators of sub-optimal 1580 treatment quality patterns in primary care. (Kerber, 2015) However, if cases of BPPV are not as 1581 severe among those patients seen in primary care settings, compared to those patients visiting 1582 subspecialty clinics or emergency departments (spectrum bias or selection bias), then observation 1583 may become a more suitable treatment option within primary care settings. Waiting for recurrence or persistence of what would be expected to be self-limited BPPV symptoms may be 1584 1585 one possible option to make the routine use of CRP and vestibular rehabilitation services a more 1586 rational and cost-effective policy. More research is needed to resolve the influence of a potential 1587 spectrum bias and the possible impact upon clinical trials, especially in those that include 1588 observation as a viable option.

1589 The natural history of lateral canal BPPV is less well-defined than that of posterior canal 1590 BPPV. Some authors have commented that lateral canal BPPV may be prone to more rapid 1591 spontaneous resolution than posterior canal BPPV. (Moon et. al., 2006; Sekine et. al., 2006) One study of untreated patients with posterior canal BPPV determined a mean interval from onset of 1592 1593 symptoms to spontaneous resolution to be about twice that of those patients with lateral canal 1594 BPPV (39 days; n=69 vs. 16 days; n=34), and the mean time between the onset of vertigo in 1595 lateral canal BPPV to spontaneous symptom resolution was about 16 days. (Imai, et. al., 2005) 1596 Although repositioning maneuvers have shown success in lateral canal BPPV, the available high 1597 quality comparative data regarding treatment versus observation, such as RCTs, are limited in 1598 this subtype of BPPV (Sekine K. et al, 2006) Thus, observation as a management strategy for

patients with lateral canal BPPV remains as a rational option. More research is needed for theinterventional management of lateral canal BPPV.

1601 In summary, observation is an option for the management of posterior canal semicircular canal BPPV and lateral semicircular canal BPPV in some patients. Observation offers the 1602 1603 potential benefits of avoiding provocation of new symptoms and any discomfort associated with 1604 the repositioning maneuvers themselves, or with vestibular rehabilitation. There may also be 1605 cost savings from decreased rates of referral for vestibular rehabilitation or CRP. Patients who 1606 elect observation should be informed about the possibility of longer duration of symptoms when 1607 compared to patients receiving active treatment maneuvers. There is also a potential for higher 1608 recurrence rates of another episode of BPPV with the observation option. Patient education 1609 materials may be offered to those electing the observation approach to BPPV. (Furman, et al, 1610 2013)

1611

1612 5. VESTIBULAR REHABILITATION: The clinician may offer vestibular rehabilitation
1613 in the treatment of BPPV. <u>Option</u> based on controlled observational studies and a balance of
1614 benefit and harm.

1615 Action Statement Profile

Quality improvement opportunity: Offer additional therapy for patients with additional
 *impairments, who fail initial CRP attempts,* who are not candidates for *CRP and/or* who
 refuse CRP. Promoting effective therapy and increased patient safety (National Quality
 Strategy domains: safety, promoting effective prevention/treatment)
 Aggregate evidence quality: Grade "B", based on subset analysis of a SR and limited

1621 RCTs.

- 1622 Level of confidence in evidence: Medium
- <u>Benefits:</u> Offer additional therapy for patients with additional impairments; prevention of
   falls, improved return of natural balance function.
- 1625 <u>Risks, harms, costs:</u> No serious adverse events noted in published trials. Transient
- 1626 provocation of BPPV symptoms during rehabilitation exercises. Potential for delayed
- symptom resolution as compared to CRP as a sole intervention. Need for repeated visits
- 1628 if done with clinician supervision. Cost of therapy.
- <u>Benefits-harm assessment</u>: Relative balance of benefits and harm.
- <u>Value judgments</u>: The panel felt that vestibular rehabilitation, as defined in this guideline,
- 1631 may be better as an adjunctive therapy rather than a primary treatment modality. Subsets
- 1632 of patients with preexisting balance deficit, CNS disorders or risk for falls may derive
- 1633 more benefit from VR than the patient with isolated BPPV.
- Intentional vagueness: Non-specification of type of VR nor timing (initial vs adjunctive)
   of therapy
- 1636 <u>Role of patient preferences</u>: Large.
- <u>Exceptions</u>: Patients with physical limitations such as cervical stenosis, Down syndrome,
   severe rheumatoid arthritis, cervical radiculopathies, Paget's disease, morbid obesity,
- 1639 ankylosing spondylitis, low back dysfunction, and spinal cord injuries.
- 1640 <u>Policy level</u>: Option.
- 1641 <u>Differences of opinion</u>: None
- 1642
- 1643 Supporting Text

1644The purpose of this statement is to define Vestibular Rehabilitation (VR), clarify various1645components of VR, including the distinction between movement/habituation-based VR versus1646isolated CRP, and to provide evidence for the most effective application of VR in patients with1647BPPV.

1648 Vestibular rehabilitation (VR) has been defined as physical maneuvers or exercise 1649 regimens to treat dizziness and balance disorders. VR has long been recognized as an effective 1650 method for managing peripheral vestibular deficits (Cawthorne, 1944; Cooksey, 1946; Dix, 1651 1979; Whitney & Sparto, 2011; Hillier & McDonnel 2011; McDonnel & Hillier 2015) by 1652 promoting habituation, adaptation, central compensation mechanisms and more recently 1653 mechanical repositioning. Thus, VR is not a single specific protocol but it refers to a broad 1654 designation of therapies that include CRP itself, as well as habituation exercises, exercises for 1655 gaze stabilization, balance retraining and facilitation of sensory and motor integration, gait retraining, fall prevention, relaxation training, conditioning exercises, functional and 1656 1657 occupational skills retraining, and patient and family education.(Herdman et al, 2000; Telian & 1658 Shepard, 1996; Whitney & Rossi, 2000, Hall et al. 2016 (in press), McDonnell et al 2015) For 1659 the purpose of this key action statement, VR is being more narrowly defined as any additional 1660 therapy beyond isolated CRP for patients who either fail initial CRP attempts, are not candidates 1661 for CRP, have additional impairments, and/or who refuse CRP.

1662 Two movement/habituation-based VR treatment protocols with respect to BPPV deserve 1663 specific mention, as they are well defined in the literature and often adopted in clinical practice. 1664 These are the Cawthorne-Cooksey exercises and the Brandt-Daroff exercise. The Cawthorne 1665 and Cooksey (Cawthorne, 1944) exercises consist of a series of eye, head and body movements 1666 in a hierarchy of increasing difficulty intended to provoke vestibular symptoms. Cawthorne-

1667 Cooksey type exercises begin with simple head movement exercises performed in the sitting or 1668 supine position and progress to complex activities including walking on slopes and steps with 1669 eyes open and closed and sports activities requiring eye-hand coordination. These exercises 1670 theoretically fatigue the vestibular response and force the central nervous system to compensate 1671 by habituation to the stimulus (Han et al, 2011). The Brandt and Daroff exercise was developed 1672 specifically for BPPV and involves a sequence of rapid lateral head/trunk tilts repeated serially to 1673 promote loosening and ultimately dispersion of debris toward the vestibule. (Brandt & Daroff, 1674 1980; Brandt et al, 1994) In this exercise, the patient starts in a sitting position moving quickly to 1675 the right side lying position with head rotated 45 degrees facing upward. This position is 1676 maintained until the vertigo stops. The patient then moves rapidly to a left side lying position 1677 with head rotated 45 degrees facing upward.

1678 Several studies have compared movement/habituation-based VR to CRP in the treatment of posterior canal BPPV. In a RCT of 124 patients randomized to CRP (Epley or modified LM), 1679 1680 Brandt-Daroff exercises, vestibular habituation exercises, or sham, both habituation routines 1681 were more effective than sham. (Cohen & Kimball, 2005; Hillier & Hollohan, 2007) However 1682 CRP was found more effective than both habituation routines. (Cohen & Kimball, 2005; Hillier & Hollohan, 2007) Soto Varela comparatively analyzed a total of 106 BPPV patients randomly 1683 1684 assigned to receive Brandt-Daroff habituation exercises, or one of two CRP (LM or the Epley maneuver) (Soto Varela et al, 2001). At the one-week follow-up, patients treated with CRP (LM 1685 1686 and Epley maneuvers) experienced resolution rates of 71-74% compared to only 24% with the 1687 Brandt & Daroff exercise. More recently, Toledo found in 2000 that CRP (LM specifically) was 1688 superior to Cawthorne-Cooksey exercises at both 15 days and at 3 months (Toledo, 2000). In the 1689 2015 Cochrane review of VR for unilateral peripheral vestibular dysfunction, McDonnell &

1690 Hillier, reported not only a significant effect of VR over control or no intervention (OR of 2.67 1691 @ 95% CI ,1.85-3.86) but that CRP was found to be superior to movement/habituation-based VR 1692 (e.g. Cawthorne-Cooksey, Brandt-Daroff) with OR of .19 (95% CI .07-.49, odds ratio for 1693 improvement with VR versus CRP). Concluding statements from the Cochrane review support 1694 intuitive thought that the primary intervention for patients with BPPV should be maneuvers 1695 (CRP) that directly treat the condition, e.g. mechanical repositioning but that other aspects of 1696 movement/habituation-based VR may further aide and support long term functional recovery. 1697 (McDonnel & Hillier, 2015, Amor-Dorado et al 2012) 1698 Although there is evidence that movement/habituation VR should not be considered as a 1699 substitute for CRP in the initial treatment of BPPV, there is a role for VR as adjuvant therapy in 1700 the management of selected patients with BPPV. BPPV can result in significant residual 1701 complaints of more generalized dizziness (abnormal motion sensitivities not associated with 1702 provocation of nystagmus) and definable abnormal postural control with heightened fall risk 1703 even after CRP has successfully resolved paroxysmal positional nystagmus (Di Girolamo S et al 1704 1998; Giacomini P et al 2002). There is a statistically significant increased risk for persistent 1705 postural abnormalities in the elderly in general (Blatt PJ et al 2000) where multifactorial 1706 comorbid impairments may be present. A randomized control trial found that individuals with 1707 BPPV who were treated with CRP and additional VR exercises (balance/habituation) had 1708 significantly improved measures of overall gait stability compared to those that had received 1709 isolated CRP (Epley) for their BPPV (Chang et al. 2008). Additionally, this study documented 1710 that increased balance performance was achieved in patients only when additional 1711 movement/habituation-based VR was administered. BPPV has also been noted to trigger the 1712 development of more chronic disabling dizziness which was originally described as Phobic

Postural Vertigo (Brandt T 1996) and more recently Chronic Subjective Dizziness (CSD) or
Persistent Perceptual Postural Dizziness (PPPD) for which VR appears to offer critical additional
improvement. (Staab, 2012) If balance and motion tolerance doesn't improve in a timely manner
in patients treated successfully with CRP, then further clinical assessment and VR is often not

1717 only indicated but necessary to complete healing and optimal resolution of disability.

1718 Historically, VR is offered as either a home exercise-based standardized progression or 1719 more specialized and individually tailored exercise, termed customized VR. Where home 1720 exercise-based VR programs (e.g. Cawthorne-Cooksey exercises) are most often provided as a 1721 handout to a patient during initial consult with no anticipated follow-up and limited education 1722 and instruction, customized VR is usually prescribed by a therapist who individually tailors the 1723 exercises based on patient specific impairments/tolerance with the anticipation of follow-up to 1724 progress the routine. Evidence for the benefits of customized VR over home exercise-based VR 1725 have been shown in early studies (Horak FB et al 1992; Shepard & Telian 1995). Although 1726 larger randomized controlled studies are needed, customized VR has the potential to improve 1727 outcomes of BPPV. When delivered by a VR specialist, customized VR can provide secondary 1728 assessment that can gather further diagnostic information and can provide individualized 1729 modifications to the CRP (e.g. more ideal positioning with use of a trendelenburg table in 1730 patients with limited ROM). in cases of resistive forms of BPPV or complicating co-morbidities 1731 customized VR can offer an exercise prescription that is more comprehensive e.g. combinations 1732 of liberatory, habituation, more specific balance and gait retraining techniques. Examples of 1733 comorbidities that can often require customization include cervical stenosis, Down syndrome, 1734 severe rheumatoid arthritis, cervical radiculopathies, Paget's disease, morbid obesity, ankylosing 1735 spondylitis, low back dysfunction, and spinal cord injuries. Additionally, patients with BPPV

1736 but with other co-morbid otologic or neurologic disorders may benefit from customized VR 1737 since they may have other vestibular, mechanical or neurological deficits that require more 1738

1739 In summary, given the substantial evidence that movement/habituation-based VR is

1740 significantly less effective than CRP as an initial treatment for BPPV, VR should be considered

1741 an option in the treatment of BPPV rather than a recommended first-line treatment modality for

1742 BPPV. VR is, however indicated for patients with BPPV who have persistent disability

1743 following CRP, refuse CRP, or who are not candidates for CRP. VR is particularly indicated in

1744 patients with additional impairments where further therapy is needed to resolved more non-

1745 specific dizziness and those patients with heightened fall risk (e.g. elderly).

comprehensive and customized rehabilitation.

#### 1746 6. MEDICAL THERAPY: Clinicians should not routinely treat BPPV with vestibular

1747 suppressant medications such as antihistamines and/or benzodiazepines. Recommendation against routine medication based on observational studies and a preponderance of benefit over 1748 1749 harm.

#### 1750 Action Statement Profile

1751	• Quality improvement opportunity: Decreased use of unnecessary medications with
1752	potentially harmful side effects. Reduced costs. National Quality Strategy domains:
1753	safety, promoting effective prevention/treatment, affordable quality care)
1754	• Aggregate evidence quality: Grade C based on observational and cross-sectional
1755	studies.
1756	• Level of confidence in evidence: Medium

1757 Benefits: Avoidance of adverse effects from or medication interactions with these •

1758	medications. Prevention of decreased diagnostic sensitivity from vestibular
1759	suppression during performance of the Dix-Hallpike maneuvers.
1760	• <u>Risks, harms, costs</u> : None.
1761	• <u>Benefits-harm assessment</u> : Preponderance of benefit over harm.
1762	• <u>Value judgments</u> : To avoid harm from ineffective treatments. The panel felt that data
1763	regarding harms and side effects from non-BPPV populations with vertigo would be
1764	applicable to the BPPV patient population.
1765	• <u>Intentional vagueness:</u> The panel recognized that there most likely is a very small
1766	subgroup of patients with severe symptoms who may need vestibular suppression
1767	until more definitive treatment can be offered (e.g. CRP) or immediately before and/
1768	or after treatment with CRP.
1769	• <u>Role of patient preferences</u> : Small.
1770	• Exceptions: Severely symptomatic patients refusing other treatment options and
1771	patients requiring prophylaxis for CRP.
1772	<u>Policy level:</u> Recommendation against.
1773	• <u>Differences of opinion</u> : None
1774	
1775	Supporting Text
1776	The purpose of this statement is to dissuade the routine use of medication in the treatment
1777	of BPPV.
1778	The symptoms of vertigo, due to many different underlying etiologies, may commonly be
1779	treated with medications. Clinicians may prescribe pharmacologic management to either (1)
1780	reduce the spinning sensations of vertigo specifically and/or (2) to reduce the accompanying

1781 motion sickness symptoms. These motion sickness symptoms include a constellation of 1782 autonomic or vegetative symptoms such as nausea, vomiting, and diarrhea, which can 1783 accompany the vertigo. Such pharmacologic therapies for vertigo may be broadly termed 1784 vestibular suppressant medications. (Hain & Uddin, 2003; Hain & Yacovino, 2005) 1785 Several categories of vestibular suppressant medications may be used to treat a variety of 1786 vestibular disorders in general. Among these, the most often considered are the benzodiazepine 1787 and antihistamine drug classes. Benzodiazepines, such as diazepam and clonazepam, have 1788 anxiolytic, sedative, muscle relaxant, and anticonvulsant properties derived from potentiating the 1789 inhibitory effect of the gamma-amino butyric acid (GABA) system. In prolonged dizziness, 1790 these medications can reduce the subjective sensation of spinning, but also can interfere with 1791 central compensation in peripheral vestibular conditions. Antihistamines, on the other hand, 1792 appear to have a suppressive effect on the central emetic center to relieve the nausea and 1793 vomiting associated with motion sickness. Common examples of antihistamines used to treat 1794 symptoms of vertigo and/or associated motion sickness include meclizine and diphenhydramine. 1795 Other medications that are often used for motion sickness include promethazine, which is a 1796 phenothiazine with antihistamine properties, and ondansetron, which is a serotonin-5HT3 1797 antagonist. Lastly, anticholinergic medications such as scopolamine block acetylcholine, a 1798 widespread central nervous system transmitter, and help with motion sickness by reducing neural 1799 mismatching. (Hain & Uddin, 2003; Hain & Yacovino, 2005) 1800 Conversely, vestibular suppressant medications have the potential for significant harm. 1801 All of these medications may produce drowsiness, cognitive deficits, and interference with 1802 driving or operating machinery. (Ancelin et al, 2006; Hebert et al, 2007; Barbone et al, 1998;

1803 Engeland et al, 2007; Jauregui et al, 2006) Medications used for vestibular suppression,

especially psychotropic medications such as benzodiazepines, are a significant independent risk factor for falls.(Hartikainen et al, 2007) The risk of falls increases in patients taking multiple medications and with the use of medications such as antidepressants.(Lawson et al, 2005; Hien le, et al, 2005) The potential for polypharmacy when adding vestibular suppressants further exposes the elderly to additional risk.(Landi et al, 2007) Educational programs to modify a practitioner's use of such medications can result in a reduction of falls.(Pit et al, 2007)

There are other potential harmful side effects of vestibular suppressants. Benzodiazepines and antihistamines interfere with central compensation for a vestibular injury. (Hanley et al, 2001; Baloh, 1998a; Baloh, 1998b) The use of vestibular suppressants may obscure the findings on the Dix-Hallpike maneuvers. In addition, there is evidence of additional potential harm from the antihistamine class of medications on cognitive functioning (Ancelin et al, 2006) and on GI motility, urinary retention, vision and dry mouth in the elderly. (Rudolph et al, 2008)

1816 There is no evidence in the literature to suggest that any of these vestibular suppressant 1817 medications are effective as a definitive, primary treatment for BPPV, or effective as a substitute 1818 for repositioning maneuvers. (Frohman et al, 2003; Hain & Uddin, 2003; Carlow, 1986; Cesarani 1819 et al, 2004; Fujino et al, 1994) Some studies show a resolution of BPPV over time with 1820 medications, but these studies, however, follow patients for the period of time during which 1821 spontaneous resolution would typically occur. (Sacco et al, 2014, Woodworth et al, 2004; 1822 Salvinelli et al, 2004; Itaya et al, 1997; McClure & Willet, 1980) In one double blind controlled 1823 trial e comparing diazepam, lorazepam and placebo, all groups showed a gradual decline in 1824 symptoms with no additional relief in the drug treatment arms. (McClure & Willett, 1980) A 1825 small study compared particle repositioning maneuvers to a medication alone treatment arm and 1826 found that particle repositioning maneuvers had substantially higher treatment responses (78.6% -

1827 93.3% improvement) compared to medication alone (30.8% improvement) at two weeks follow-1828 up.(Itaya et al, 1997) The data reinforced previous data which also indicated superiority of 1829 vestibular training for BPPV over medication use alone.(Fujino et al, 1994) Similar findings 1830 were noted when comparing canal repositioning maneuvers to betahistine where patients 1831 randomized to canal repositioning maneuvers had faster physical and mental recovery than their 1832 pharmacologic counterparts. (Maslovara et al 2012). A more recent study showed that patients 1833 who underwent the Epley maneuver alone recovered faster than those who underwent the Epley 1834 maneuver and concurrently received a labyrinthine sedative. (Sundararajan et al, 2011) Also, the 1835 addition of an antihistamine to canal repositioning maneuvers demonstrated no change in the 1836 dizziness handicap inventory score. (Kim et al 2014).

1837 However, more recent studies have shown that there may be some pharmacological 1838 benefit in select patients. In one randomized study, the addition of a benzodiazepine to canal 1839 repositioning maneuvers significantly decreased the functional and emotional scores of the 1840 dizziness handicap inventory but did not affect the physical score compared to patients who were 1841 treated with canal repositioning maneuvers alone suggesting a role in treating psychological 1842 anxiety secondary to BPPV. (Jung et al 2011). In one trial, betahistine has been shown to be 1843 effective in reducing symptoms in patients older than 50, with hypertension, with symptom onset 1844 < 1 month, with brief attacks under 1 minute when used concurrently with canal repositioning 1845 maneuvers. (Guneri 2012) A general lack of isolated benefit from vestibular suppressants and 1846 inferiority to particle repositioning maneuvers indicate that clinicians should not routinely 1847 substitute pharmacologic treatment of symptoms associated with BPPV in lieu of other more 1848 effective treatment modalities. However, when used judiciously in conjunction with canal 1849 repositioning maneuvers, pharmacologic therapy may have a role.

1850 In summary, vestibular suppressant medications are not routinely recommended for 1851 treatment of BPPV, other than for the short term management of autonomic symptoms such as 1852 nausea or vomiting in a severely symptomatic patient. Examples of potential short term uses 1853 include patients who are severely symptomatic yet refuse therapy or patients who become 1854 severely symptomatic after a CRP. Antiemetics may also be considered for prophylaxis for 1855 patients who have previously manifested severe nausea and/or vomiting with the Dix-Hallpike 1856 maneuvers and in whom a CRP is planned. If prescribed for these very specific indications, 1857 clinicians should also provide counseling that the rates of cognitive dysfunction, falls, drug 1858 interactions, and machinery and driving accidents increase with use of vestibular suppressants. 1859

- 1860 7a. OUTCOME ASSESSMENT: Clinicians should reassess patients within 1 month after an initial period of observation or treatment to document resolution or persistence of 1861 1862 symptoms. Recommendation based on observational outcomes studies and expert opinion and a 1863 preponderance of benefit over harm. 1864 Action Statement Profile 1865 Quality improvement opportunity: Obtain outcomes data for treatment of BPPV; ability to assess treatment effectiveness. (National Quality Strategy domains: safety, 1866 1867 engaging patients, coordination of care, promoting effective prevention/treatment)
- Aggregate evidence quality: Grade C studies with known significant failure rates for
   an observation option and lower failure rates for CRP.
- 1870 Level of confidence in evidence: Medium
- <u>Benefits:</u> Increased accuracy of BPPV diagnosis. Identify patients initially treated

1872	with observation who have persistent symptoms and may benefit from CRP or
1873	vestibular rehabilitation to hasten symptom resolution.
1874	• <u>Risks, harms, costs</u> : Cost of reassessment.
1875	• <u>Benefits-harm assessment</u> : Preponderance of benefit over harm.
1876	• <u>Value judgments:</u> Panel valued ensuring the accuracy of diagnosis that may be
1877	enhanced by follow-up and capturing patients who could benefit from treatment or re-
1878	treatment to improve symptom resolution. Panel valued the potential importance of
1879	outcomes measures in the overall healthcare data environment.
1880	• Intentional vagueness: The term reassess could represent various types of follow-up
1881	including phone calls from office staff or other methods to document outcome.
1882	• <u>Role of patient preferences</u> : Small
1883	• <u>Exceptions</u> : None.
1884	• <u>Policy level</u> : Recommendation.
1885	• <u>Differences of opinion</u> : Some panel members felt there is value in return visits to
1886	establish symptom resolution or to document objective improvement. Most other
1887	panel members felt that phone contact versus open-ended follow-up if symptoms
1888	persist or recur is sufficient.
1889	
1890	Supporting Text
1891	The purpose of this statement is to emphasize that clinicians should reassess patients
1892	within 1 month after an initial period of observation or treatment to document resolution or
1893	persistent symptoms.
1894	Importance of Patient Reassessment

1894 Importance of Patient Reassessment

1895	Patients with BPPV, regardless of the initial treatment option, will have variable
1896	responses to therapy (Cohen and Kimball 2005). The response to therapy may depend on several
1897	factors including the accuracy of diagnosis, the duration of symptoms prior to the diagnosis, and
1898	patient compliance with the prescribed therapy (Hilton & Pinder 2004, Rupa 2004). It is
1899	important to reassess patients because those who continue to have vestibular symptoms remain at
1900	risk for falls, have decreased quality-of-life, and other consequences of unresolved BPPV.
1901	Furthermore, patients with continued vestibular symptoms should be reassessed for an accurate
1902	diagnosis and evaluated for further treatment needs.
1903	The most effective treatment for BPPV is CRP. Recent studies have shown that the vast
1904	majority of patients are adequately treated with 1-2 CRP (79.4-92.7%) (Amor-Dorado et al.
1905	2012; Balikci 2014; Bruintjes et al. 2014; Badawy et al. 2015). However, 12.8-15.3% of patients
1906	will require a second CRP, and 5.1% will be classified as treatment failures after 2 CRPs.
1907	(Amor-Dorado et al. 2012; Balikci 2014; Bruintjes et al. 2014; Badawy, et al. 2015).
1908	If initial therapy fails, the patient should be reassessed for BPPV diagnosis accuracy.
1909	Symptoms of central nervous system disorders may mimic BPPV, and these conditions would
1910	not respond to BPPV treatments. In cohort studies, the rates of false positive diagnosis for BPPV
1911	subsequently found to be central nervous system lesions after failed treatment with CRP ranges
1912	from 1.1-3% (Dal, Ozlüoğlu et al. 2000, Rupa 2004). Thus, persistence of symptoms after initial
1913	management requires clinicians to reassess and reevaluate patients for other etiologies of vertigo.
1914	Conversely, resolution of BPPV symptoms after BPPV-targeted initial therapy, such as CRP,
1915	would corroborate and provide further evidence as to an accurate diagnosis.
1916	

1917 Definition of Treatment Failure

In order to define a BPPV treatment failure, a failed outcome criterion as well as an
appropriate time interval for reassessment needs to be defined. In clinical trials, successful
BPPV treatment outcomes are traditionally defined as subjective symptom resolution and/or
conversion to a negative Dix-Hallpike test (Hilton and Pinder 2004, Woodworth et al. 2004,
Teixeira and Machado 2006).

1923 Although conversion to a negative Dix-Hallpike test may have the advantage of being a 1924 more objective reassessment compared with subjective symptom resolution, it also carries the 1925 disadvantage of requiring a repeat clinical visit, which is associated with direct and indirect 1926 costs. The alternative of a symptom-based reassessment allows practitioners to use clinical 1927 judgment regarding the most appropriate follow-up modality for individual patients, including 1928 telephone communication, electronic communication, or office-based re-examination. 1929 Symptom-based assessment of treatment resolution should be detailed enough to distinguish 1930 those patients whose symptoms have decreased or minimized because of positional avoidance 1931 (who may not be treatment successes) from those with true symptom resolution (Woodworth, et 1932 al. 2004). If the patient was initially diagnosed and treated in an acute care setting (e.g. an 1933 emergency room or urgent care clinic), their primary care provider or specialist would be a 1934 suitable provider to reassess the patient.

1935

1936 Definition of Time Interval

There is no widely accepted time interval to assess patients for treatment failure.
Therapeutic BPPV trials report follow-up assessments for treatment outcomes at 40 hours, 2
weeks, 1 month, and up to 6 months. However, the most common follow-up interval is within or
at 1 month (Hilton and Pinder 2004, Woodworth, Gillespie et al. 2004, Teixeira and Machado

1941 2006). Spontaneous symptom resolution at 1 month ranges from 20-80% (Lynn, Pool et al.

1942 1995, Froehling, Bowen et al. 2000, Yimtae, Srirompotong et al. 2003, Sekine, Imai et al. 2006,

1943 von Brevern, Seelig et al. 2006, Munoz, Miklea et al. 2007). At the 1-month reassessment,

1944 patients should be evaluated for further interventional treatment for unresolved BPPV as well as

1945 reassessed for accurate diagnosis (Lynn, Pool et al. 1995, Froehling, Bowen et al. 2000, Yimtae,

1946 Srirompotong et al. 2003, Sekine, Imai et al. 2006, von Brevern, Seelig et al. 2006, Munoz,

1947 Miklea et al. 2007).

1948 Of note, the panel was somewhat divided regarding the need for a method of assessment 1949 for treatment failure. The panel recognized that BPPV is often in and of itself a self-limiting 1950 condition and that CRP is a very effective maneuver for its treatment. Given that the vast 1951 majority of patients ultimately come to symptom resolution the panel recognized that a 1952 requirement for reassessment would be tracking this vast majority of patients who do well. In 1953 contradistinction, however, the panel also felt that there was a need for documentation of 1954 symptom resolution to ensure an added layer of safety with respect to the accuracy of diagnosis 1955 of BPPV and to reduce the quality-of-life impact of unresolved BPPV, even though numerically 1956 this may be a small fraction of initial patients suffering from BPPV. This may be of greater importance as the management of BPPV may move to the primary care or ED setting rather than 1957 1958 subspecialty settings. The panel also felt that assessment would allow for collection of 1959 longitudinal comparative effectiveness data in a real-world setting which may be of future value 1960 from a research and healthcare quality perspective.

# 7b. EVALUATION OF TREATMENT FAILURE: Clinicians should evaluate, or refer to a clinician who can evaluate, patients with persistent symptoms for unresolved BPPV and/or underlying peripheral vestibular or central nervous system disorders.

- 1964 <u>Recommendation</u> based on observational studies of diagnostic outcomes in patients with BPPV
- 1965 *and a preponderance of benefit over harm.*

### 1966 Action Statement Profile

- Quality improvement opportunity: Capture missed or erroneous diagnoses; offer re-
- 1968 treatment to those patients with early recurrence of BPPV or failed initial CRP (National
- 1969 Quality Strategy domain: safety, promoting effective prevention/treatment)
- 1970 <u>Aggregate evidence quality:</u> Grade A for treatment of observation failure and Grade B for
- 1971 CRP failure based on RCT and SR examining treatment responses and failure rates.
- 1972 Level of confidence in evidence: Medium
- 1973 <u>Benefits:</u> Expedite effective treatment of patients with persistent BPPV and associated
- 1974 co-morbidities. Decrease the potential for missed serious medical conditions that require
- a different treatment algorithm.
- 1976 <u>Risks, harms, costs:</u> Costs of re-evaluation and the additional testing incurred.
- 1977 <u>Benefits-harm assessment</u>: Preponderance of benefit over harm.
- 1978 <u>Value judgments</u>: Valued comprehensive treatment of not only BPPV but associated
- 1979 conditions that affect balance and function. The panel also valued expeditiously treating
- 1980 cases of persistent BPPV following observation or vestibular rehabilitation with a CRP as1981 more definitive therapy.
- 1982 Intentional vagueness: Characterizatio
  - <u>Intentional vagueness</u>: Characterization of persistent symptoms was intentionally vague
     to allow clinicians to determine the quality a degree of symptoms that should warrant
     further evaluation or re-treatment.
  - 1985 <u>Role of patient preferences:</u> Small.
  - 1986 <u>Exceptions</u>: None
1987 • <u>Policy level</u>: Recommendation

1988 • <u>Differences of opinion</u>: None

1989

1990

1991 Supporting Text

1992 The purpose of this statement recommending evaluation of patients with persistent 1993 symptoms after initial treatment of BPPV is to expeditiously identify treatment failures, promote 1994 the timely diagnosis and management of underlying peripheral or central nervous system 1995 disorders and, by doing so, reduce the risk of secondary complications related to unresolved or 1996 unidentified disease.

Patients with persistent symptoms of vertigo, dizziness, or unsteadiness after initial
therapy for BPPV are classified as treatment failures. Treatment failures require re-evaluation
for the following reasons: 1) persistent BPPV may be present and responsive to additional
maneuvers; 2) co-existing vestibular conditions may be present that can be identified and treated;
and 3) serious central nervous system disorders may simulate BPPV and need to be identified.
(Furman & Casss, 1999; Rupa, 2004; Furman & Cass, 1995)

2003

### 2004 PERSISTENT BPPV

Patients with BPPV who initially are treated with observation may fail to resolve
spontaneously. Also, based on failure rates of vestibular rehabilitation or a single-session CRP
ranging from 8-50%, a significant number of patients initially managed with vestibular
rehabilitation or CRP will have persistent BPPV after initial therapy, also indicating a treatment
failure.(Furman & Cass, 1999; Hilton & Pinder, 2004; Cohen & Kimball, 2005; Teixeira &

2010 Machado, 2006; von Brevern et al. 2006; Amor-Dorado et al. 2012; Helminski et al., 2010; 2011 Bruintjes et al, 2014; Hillier & McDonnel, 2011; Hilton & Pinder, 2014; McDonnell & Hillier, 2012 2015; Prim-Espada, 2010; van Duijn et al, 2014) As such, re-evaluation of a treatment failure is 2013 advisable and should include obtaining a history of vertigo and determining if the vertigo is 2014 provoked by positional change relative to gravity (i.e. lying down in bed, rolling over, bending 2015 down or tilting their head back), which then suggests persistent BPPV. As with the original 2016 diagnostic criteria, the Dix-Hallpike test should be repeated to confirm the diagnosis of BPPV. 2017 If the Dix-Hallpike maneuver is still positive, repeat canalith repositioning maneuvers can then 2018 be performed as a preferred treatment. The rate of successful treatment of BPPV reaches 90-2019 98% when additional repositioning maneuvers are subsequently performed. (Brocchetti et al, 2020 2003; Beynon et al, 2000; Reinink et al, 2014) Therefore, the CRPs are the treatment of choice 2021 for initial BPPV treatment failures deemed to be due to persistent BPPV. For treatment failures refractory to multiple CRP, surgical plugging of the involved posterior semicircular canal or 2022 2023 singular neurectomy have a greater than 96% success rate; however, the quality of data 2024 supporting these interventions precludes the ability to make definitive recommendations for their 2025 utilization (Fife et al, 2008).

A similar approach may be adopted for the re-evaluation of persistent symptoms of vertigo after an initial diagnosis of lateral canal BPPV. The supine roll test should be repeated and if characteristic nystagmus is elicited, a CRP appropriate for lateral canal BPPV may be repeated as well. There are limited data regarding the management of treatment failures after CRP for lateral canal BPPV since this condition seems to respond more consistently to CRP and it also has a higher spontaneous resolution rate. (Tirelli & Russolo, 2004; Sekine et al, 2006; Fife, 1998; Asprella Libonati, 2005; van den Broek, 2014) Some studies indicate cure rates of

86-100% with up to four CRP treatments in lateral canal BPPV. (Casani et al, 2002; Chiou et al,
2034 2005) Further sub-analysis suggests that the apogeotropic variant of lateral canal BPPV may be
2035 more refractory to therapy. (White et al, 2005; Casani et al, 2002; van den Broek, 2014)

2036 A small percentage of patients initially diagnosed and treated for lateral canal BPPV may 2037 experience a "canal conversion". In these cases, initially lateral canal BPPV may transform into 2038 posterior canal BPPV in up to 6% of cases. (Nutietal, 1998; Tirelli & Russulo, 2004) Similarly, a 2039 small fraction of patients (also approximating 6%) initially presenting with posterior canal 2040 BPPV may after treatment transition to lateral canal BPPV. (Yimtae et al, 2003; Herdman & 2041 Tusa, 1996) A small subset of patients who do not respond to treatment for posterior canal 2042 and/or lateral canal BPPV may suffer from anterior canal BPPV, and may need to be evaluated 2043 accordingly.(Jackson et al, 2007) In addition, although rare, two semicircular canals may be 2044 simultaneously involved. The second canal's involvement may become evident at the time of 2045 reassessment if one of the involved canals was appropriately treated. (Rupa, 2004) Finally, it is 2046 possible that initial treatment was not properly directed to the involved canal thus increasing the 2047 chance of persistent symptoms. Thus, reassessment of persistent positional vertigo in BPPV should include examination for involvement of other semicircular canals other than that which 2048 2049 was originally diagnosed.

2050

## 2051 CO-EXISTING VESTIBULAR SYSTEM DYSFUNCTION

A BPPV treatment failure may be subsequently found to be a case manifesting vertiginous symptoms that are: provoked by head and body movements in general (i.e. not primarily provoked by positional changes relative to gravity), unprovoked (i.e. spontaneous) episodes of vertigo occurring while not moving, or in fact, a constant unsteadiness. These

2056 specific findings should be identified by clinicians as such findings suggest the presence of 2057 vestibular system dysfunction associated with, or in addition to, the initially treated BPPV.

2058 In a study by Monobe, treatment failure of the CRP was most commonly seen in patients 2059 with BPPV secondary to head trauma or vestibular neuritis. (Monobe et al, 2001) Since 2060 vestibular neuritis and head trauma are both frequently associated with vestibular dysfunction, 2061 the cause of persistent symptoms following treatment of BPPV is likely related to widespread dysfunction within the vestibular system in this setting.(Bergenius et al, 1999) Because BPPV is 2062 2063 more common in patients with Meniere's disease and migraine, vestibular system dysfunction 2064 associated with these disorders can lead to prolonged symptoms of BPPV, greater chance for recurrence BPPV and increased risk for falls, particularly in older persons.(Gordon et al, 2004; 2065 2066 Roberts et al, 2005; Hughes & Proctor, 1997; Dornhoffer & Colvin, 2000; Uneri, 2004; Kayan & 2067 Hood, 1984) In addition, BPPV not associated with other otologic or neurological disease can 2068 still be associated with an underlying impaired vestibular function and affected individuals are 2069 more likely to have incomplete resolution of symptoms even if their Dix-Hallpike testing 2070 normalizes with CRP.(Pollak et al, 2002) Finally, transient vestibular dysfunction can also occur 2071 following repositioning maneuvers. Evidence suggests that balance function continues to be affected between 1-3 months post repositioning maneuvers and that some patients may need 2072 2073 additional balance therapy (i.e., counseling, vestibular rehabilitation) in order to prevent falls and 2074 decrease their fear of falling after the vertigo from BPPV has resolved.(Blatt et al, 2000; Chang 2075 et al, 2006; Giacomini et al, 2002; Black & Nashner, 1984) Thus, re-evaluation of BPPV 2076 treatment failures should include a search for these associated conditions.

2077 When co-existing vestibular system dysfunction is suspected, additional testing should be 2078 considered. This may include audiometric testing to screen for Meniere's disease and 8th nerve

pathology such as acoustic neuroma, vestibular function testing to detect central and peripheral
vestibular dysfunction, and CNS imaging to detect CNS pathology. Such subsequent testing will
need to be tailored to the clinical presentation and clinicians should exercise their clinical
judgment. Vestibular rehabilitation has been shown to be an effective treatment for vestibular
symptoms due to the potentially persistent vestibular dysfunction associated with BPPV and may
reduce fall risk. (Angeli et al, 2003)

2085

### 2086 CNS DISORDERS MASQUERADING AS BPPV

2087 While vertigo of central origin is frequently associated with neurological symptoms such as gait, speech, and autonomic dysfunction, it is important to recognize that, rarely, central 2088 2089 nervous system disorders can masquerade as BPPV. (Bertholon et al, 2002) Many of these have been previously discussed in the section on differential diagnosis but the relative likelihood of 2090 2091 their diagnosis increases in the face of initial treatment failure. In one study, a CNS disorder 2092 explaining BPPV treatment failure was found in 3% of patients. (Dal et al, 2000) 2093 Whenever the signs and symptoms of BPPV are atypical or refractory to treatment, 2094 additional history and physical examination should be obtained to address the possibility of 2095 undiagnosed CNS disease. (Smouha & Roussos, 1995) Patients with symptoms consistent with 2096 those of BPPV who do not show improvement or resolution after undergoing the CRP, especially 2097 after 2 or 3 attempted maneuvers, or those who describe associated auditory or neurologic 2098 symptoms should be evaluated with a thorough neurological examination, additional CNS testing 2099 and/or magnetic resonance imaging of the brain and posterior fossa to identify possible 2100 intracranial pathologic conditions.(Dunniway & Welling, 1998; Buttner et al, 1999)

2101

2102	8. EDUCATION:	<b>Clinicians should educate</b>	patients regarding th	e impact of BPPV on

- 2103 their safety, the potential for disease recurrence and the importance of follow-up.
- 2104 <u>Recommendation</u> based on observational studies of diagnostic outcomes and recurrence in
- 2105 patients with BPPV and a preponderance of benefit over harm.

2106 Action Statement Profile

- Quality improvement opportunity: Education allows patients to understand the
- 2108 implications of BPPV on quality of life and patient safety, especially falls. (National
- 2109 Quality Strategy domains: safety, engaging patients, promoting effective
- 2110 prevention/treatment)
- Aggregate evidence quality: Grade C based on observational and cross-sectional studies
   of recurrence and fall risk.
- Level of confidence in evidence: Medium
- <u>Benefits:</u> Increased awareness of fall risk potentially decreasing injuries related to falls.
- 2115 Increased patient awareness of BPPV recurrence which allows prompt intervention.
- <u>Risks, harms, costs:</u> None.
- 2117 <u>Benefits-harm assessment:</u> Preponderance of benefit over harm
- 2118 <u>Value judgments</u>: None.
- <u>Intentional vagueness</u>: None.
- 2120 <u>Role of patient preferences:</u> None.
- <u>Exceptions:</u> None.
- <u>Policy level:</u> Recommendation.
- <u>Differences of opinion: None.</u>

2125 Supporting Text

2126 The purpose of this statement is to discuss the importance of patient education with 2127 respect to the impact of BPPV on the daily lives of patients with this diagnosis and to emphasize 2128 the importance of education as part of the plan of care for clinicians managing these patients. 2129 BPPV has multiple treatment options, is not always cured with the first treatment and can 2130 re-occur, so it becomes a safety issue especially with respect to an increased risk of falling. The 2131 socio-economic impact of the patient's inability to meet family and work responsibilities can be 2132 an added burden. Patient education should include a discussion of factors that might predispose 2133 to BPPV, diagnosis and treatment options, and risk for reoccurrence. This information can be 2134 reassuring to patients and help with their understanding of appropriate diagnostic testing and 2135 management. Written handouts can provide this information (Table 16). Patients can also be 2136 directed to numerous support groups through social media or searching www.vestibular.org. 2137 One of the most important goals of education is an understanding of what BPPV is. The 2138 acute onset of vertiginous symptoms can mimic those of a stroke or other neurological problems 2139 and are very frightening for patients and their families. A thorough neurological exam and a 2140 simple Dix Hallpike test can reliably identify BPPV, making medications and expensive radiologic testing unnecessary. Explaining this to patients will help to put them at ease regarding 2141 2142 their diagnosis.

Although BPPV generally responds well to treatment, there is a significant rate of BPPV recurrence after initial resolution or clinical cure. Most trials of BPPV maintain limited follow up, rarely beyond 3 months. In the few trials of BPPV with longer term follow-up, the rate of recurrent BPPV (that is, BPPV symptoms manifesting again after a symptom free period) is reported to be 5-13.5% at 6-months follow up.(Macias et al, 2000; Sridhar & Panda, 2005) At

2148 one year after treatment, the rate of recurrence has been reported at a slightly higher rate of 10-2149 18% (Prokopakis et al, 2005; Sakaida et al, 2003) The recurrence rate continues to increase over 2150 time and may be as high as 36% (Hilton et al, 2014) Patients with BPPV after trauma are likely 2151 to demonstrate an even higher recurrence rate of their BPPV.(Gordon et al, 2004) 2152 Thus, clinicians should be aware of the recurrence risk of BPPV and should counsel 2153 patients accordingly. Counseling will likely have several benefits. These include earlier recognition by patients of recurrent BPPV, allowing earlier return for CRP or vestibular 2154 2155 rehabilitation. Also, counseling regarding recurrence will offset the potential anxiety patients 2156 may feel when BPPV recurs and allow them to make corresponding adjustments in their daily 2157 routine to minimize the impact of BPPV symptomatology. 2158 As with any balance or vestibular disorder, patients with BPPV should be counseled that 2159 BPPV places them at greater risk for falls. (Brandt & Dieterich, 1993) This may be particularly 2160 applicable for patients with pre-existing balance disorders or vestibular deficits and a separate 2161 onset of BPPV. The propensity for falling may actually be a significant motivating factor for 2162 patients to be referred for evaluation and management of BPPV. (Lawson et al, 2005) The risk of falls and fear of falls are significant considerations in the management of the elderly who suffer 2163 from chronic dizziness. (Gazzola et al, 2006) In study of 120 elderly patients with chronic 2164 2165 vestibular disorders, 36.7% carried the diagnosis of BPPV. Fifty-three percent of subjects had 2166 fallen at least once in the past year, and 29.2% had recurrent falls. (Gazzola et al, 2006) Other 2167 authors have confirmed a relatively high rate of BPPV and associated falling tendencies in the 2168 elderly. (Oghalai et al, 2000; Imbaud Genieys, 2007)

2169 Practically speaking, clinicians should counsel patients and their families regarding the2170 risk of falls associated with BPPV. This is particularly important in the elderly and frail who

2171 may be more susceptible to serious injury as a result of falling. Such counseling could include 2172 assessment of home safety, activity restrictions and the need for home supervision until BPPV is 2173 resolved. (Rubenstein, 2006) Patients may be particularly vulnerable in the time interval between 2174 initial diagnosis of BPPV and definitive treatment when they are referred to another clinician for 2175 CRP or vestibular rehabilitation. Counseling should therefore occur at the time of initial 2176 diagnosis. The direct costs of such counseling are anticipated to be minimal and will enhance 2177 patient and public safety and avoid potential post-traumatic sequelae. 2178 Finally, patients should be counseled regarding the importance of follow-up after the diagnosis of BPPV. Patients initially treated with observation should be counseled that if BPPV 2179 2180 fails to resolve spontaneously, effective therapies such as the CRP may then be undertaken, 2181 particularly if an observation option is initially elected. Also, patients should be educated about 2182 atypical symptoms (subjective hearing loss, gait disturbance, non-positional vertigo, nausea, vomiting, etc.) whose occurrence or persistence after resolution of the primary symptoms of 2183 2184 BPPV warrant further clinical evaluation. (Rupa, 2004) As noted, such symptoms, particularly 2185 when un-masked by the resolution of BPPV may indicate an underlying or concurrent vestibular 2186 or central nervous system disorder

2187 Table 16. Patient Information: Frequently Asked Questions

Question	Answer
What is BPPV?	Benign Paroxysmal Position Vertigo (BPPV) is the most common
	inner ear problem and cause of vertigo or false sense of spinning.
	BPPV is both a specific diagnosis and a specific description of the
	disorder. It is "benign" because it is not life-threatening despite, at
	times, the alarming intensity & severity of symptoms. It is

	"paroxysmal" because it comes on suddenly and then eases in brief				
	distinct spells. It is "positional" because it is triggered by certain head				
	positions or movements. And finally, it is "vertigo" because of the				
	sense of spinning motion often associated with the distinct attacks.				
What causes BPPV?	BPPV is caused by displaced crystals or otoconia that have become				
	unglued from their normal settled location in the center pouch of the				
	inner ear and are now free floating and/or stuck on delicate sensors in				
	the wrong or canal part of the inner ear. Where the crystals are a				
	normal part of our inner ear and help us with balance and motion				
	perception when they are in the "pouch", they can create intense false				
	messages of spinning when they are moving in the canals. BPPV				
	symptoms therefore are literally caused by these crystals dropping or				
	the sensors hanging in these very sensitive canals. The most intense				
	part of the BPPV symptoms are directly related to how long it takes				
	the crystal/sensor to settle after a person moves or changes head/body				
	position. In other words, as the crystals move/settle, your brain is				
	being given powerful (false) messages that you are violently spinning				
	when all you have done is perhaps laid down or rolled over in bed.				
What are common	Although everyone will experience BPPV uniquely, the most				
symptoms and how	common symptoms are distinct <u>triggered</u> spells of vertigo or spinning				
can BPPV affect me?	sensations that are most often accompanied by nausea (occasionally				
	vomiting) and/or a severe sense of disorientation in space or				
	instability. These symptoms will last most intensely for seconds to				

	minutes however can leave some people feeling a persistent sense of
	vaguer dizziness and instability. In some people, especially seniors,
	BPPV can present more as an isolated sense of instability brought on
	by position change e.g. sitting up, looking up, bending over and
	reaching. BPPV does not cause constant severe dizziness that is
	unaffected by position or movement. BPPV can NOT affect your
	hearing or produce fainting. The natural course/history of BPPV is to
	lessen in severity over time and so people will often report that the
	severity of their very first BPPV spinning episode will be the worse
	their symptoms will ever be.
How common is	BPPV is very common. It is more common in older people. Many of
BPPV?	us will experience it at some time in their life.
What caused my	The vast majority of cases of BPPV occur for no reason however it
BPPV?	can occasionally be associated with trauma, migraine, other inner ear
	problems, diabetes, osteoporosis, and prolonged time lying in bed
	(e.g. preferred sleep side, surgical procedures, illness)
How is BPPV	Normal medical imaging (e.g. scans, X-rays) or medical laboratory
diagnosed?	testing cannot show or confirm BPPV however simple bedside testing
	can help to confirm the diagnosis. The bedside testing requires an
	examiner to move a person's head into a specific position that makes
	the crystal move (e.g. hanging head slightly off the edge of the bed or
	rolling the person's head while lying in bed) which provokes a
	distinct characteristic eye movement that the examiner will be able to

	see and characterize to confirm the diagnosis. The most common tests					
	are called either the Dix-Hallpike test or supine roll test.					
Can BPPV be	Yes. The good news is, that although medications are not indicated					
treated?	other than for relief of immediate distress, e.g. nausea, the vast					
	majority of cases can be corrected with a bedside mechanical					
	repositioning maneuvers that take only a minute or two to complete					
	and have high success rates (around 80%) with only 1-3 treatments.					
	These bedside mechanical repositioning maneuvers are designed to					
	literally guide the crystals back to their original location in the inner					
	ear. These maneuvers are often performed at the same time the					
	bedside diagnostic testing is being performed however you can also					
	be referred to a professional (e.g. medical provider, audiologist or					
	therapist) who can perform these maneuvers. Being referred to a					
	professional is particularly indicated if you have any of the following:					
	severe disabling symptoms, you are a senior with history of past falls					
	or fear of falling, and/or you have difficulty maneuvering (e.g. joint					
	stiffness especially in your neck and back and/or weakness). You can					
	also be taught and learn how to perform these maneuvers by yourself					
	with supervision which is called "self-repositioning".					
Is there any down	During the actual BPPV treatment there can be some momentary					
side to BPPV	distress from vertigo, nausea and feelings of disorientation					
repositioning	characteristic of your usual BPPV episodes. Following the treatment,					

treatments?	some people report their symptoms start too clear almost immediately,
	some people report tion symptoms start too erear annost miniotatery,
	however frequently people will report some degree of persistent
	motion sickness-type symptoms and mild instability that can take a
	few hours to resolve to more rarely a few days to gradually clear.
Can BPPV go away	There is evidence that left untreated, BPPV can go away within
on its own?	weeks. However, remember that while the crystal is out of place, in
	addition to feeling sick and sensitive to motion, your unsteadiness can
	make you at increased risk for falling so you need to take precautions
	to not fall. If you are a senior or have another underlying balance
	disorder, there is particularly increased risk for injury and more
	pronounced disability and because of this, seniors are encouraged to
	seek more timely and professional help to resolve symptoms.
How do I know my	The strong positionally-provoked spinning vertigo that has been
BPPV is effectively	distinctly provoked with position changes should be dramatically if
treated?	not completely resolved, with a steady resolution of even more vague
	complaints and mild instability over the next few days to couple of
	weeks.
How long will it take	Even after successful repositioning/treatment of BPPV some people
before I feel better?	can feel some mild residual sensitivities to movement and generalized
	unsteadiness that can take a few days to a few weeks to gradually
	resolve. It is important to follow up with your medical provider or
	therapist if your symptoms of dizziness/instability do not resolve in a
	timely manner (days to couple weeks). If you are a senior with a

	history of falls or fear of falling, there is evidence that some of the
	instability that was initially caused by the BPPV may need further
	exercises or balance therapy to completely resolve your complaints
	and fall risk.
Is there anything I	Yes. You need to take precautions that you don't fall as your balance
should or shouldn't do	will be "off" and you will feel increased sensitivity to movement until
to help my BPPV?	the BPPV has be successfully treated and healed. After your BPPV
	has been stabilized with a repositioning maneuver and your symptoms
	are steadily resolving, it is important to resume normal activities that
	you can safely tolerate as the gradual exposure to motion and
	movement will help to speed final residual healing.
Can BPPV come back	Unfortunately, BPPV is a condition that can re-occur periodically
and/or can I prevent	however individual risk for recurrence can vary dramatically from
it?	relatively low risk (rare experiences in lifetime) to a higher
	vulnerability or risk which is often caused by some secondary factor
	(e.g. traumatic causes, other inner ear or medical conditions, aging).
	Medical research has not found any way to prevent recurrences of
	BPPV however if it does come back or recur it is as treatable with as
CO'	high success rates.

What happens if I'm There are a number of reasons your initial treatment could have failed. still experiencing 1. It is not uncommon to need more than one repositioning session to persistent symptoms get the crystals back in their proper place, so further trials may be the following my initial only thing you need. 2. There are a number of different forms or treatments? types of BPPV which can require more specialized or customized treatment. The most common self-treatment is designed for only the most common form of BPPV. There are however a number of other treatment techniques available dependent on the different types and forms of BPPV. 3. BPPV can occasionally be in more than one canal and/or side at the same time and this would require multiple treatments to resolve. 4. If your initial attempts at repositioning have failed, particularly if you have only tried is self-repositioning, having a professional who specializes in BPPV complete the maneuver may allow for more effective repositioning. It can be difficult to achieve the most accurate positioning, where a professional may be able to achieve more optimal positioning and/or use adaptive equipment. 5. There can be some significant left-over or residual dizziness even after the BPPV crystals have been properly repositioned. This dizziness may require more time (few days to couple of weeks) or may need and/or be appropriate for a different exercise/movement routine. It is VERY important that if you are having persistent symptoms, you follow-up with your healthcare provider who may be able to refer you for further testing to confirm your diagnosis and/or

	offer further treatment options.
Resources:	Vestibular Disorders Association (VEDA): INFO@vestibular.org
	5018 NE 15th Ave., Portland OR 97211, (800) 837-8428

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## 2190 IMPLEMENTATION CONSIDERATIONS:

2191 The complete guideline is published as a supplement to *Otolaryngology-Head and Neck* 2192 Surgery which will facilitate reference and distribution. An executive summary will be 2193 published highlighting key recommendations from the guideline to facilitate information 2194 dissemination. Portions of the guideline will be presented at various clinical meetings including 2195 planned presentation in as a mini seminar at the annual meeting of the American Academy of 2196 Otolaryngology-Head and Neck Surgery. Existing brochures and publications by the AAO-2197 HNSF will be updated to reflect the guideline recommendations. A visual depiction of the 2198 anticipated diagnostic and therapeutic treatment algorithm that arises from the current 2199 guideline's recommendations is presented in Figure 8. This treatment algorithm emphasizes the 2200 diagnosis and evidence-based treatment of BPPV with canalith repositioning procedures. 2201 Members of the panel will be representing the guideline at their specialty societies for possible

2202 presentation and endorsement.

2203 Because the guideline presents recommendations for an office-based diagnosis of BPPV 2204 based on positional maneuvers, an anticipated barrier to implementation is clinician unfamiliarity 2205 with the Dix-Hallpike maneuver and with the supine roll test. In addition to the descriptive and 2206 diagrammatic representations of the diagnostic tests, Web-based video links will be provided to 2207 the reader illustrating performance of these maneuvers as well as video representations of the 2208 expected diagnostic nystagmus findings, especially in the case of lateral canal BPPV. This may 2209 also be assisted by a laminated teaching card describing the maneuvers. It will be important to 2210 incorporate guideline recommendations into the development of point of care decision support 2211 tools to encourage point of service adherence to the guidelines and to facilitate rapid clinical 2212 decision-making in a busy office environment.

2213 Another barrier to implementation of this guideline is potential clinician or patient 2214 preference for the ordering of diagnostic tests to evaluate vertigo. Because the differential 2215 diagnosis of vertigo may be vast and at times complex, clinicians may feel obligated to order 2216 diagnostic testing such as central nervous system imaging or vestibular testing to rule out other 2217 causes of vertigo even when diagnostic criteria for BPPV are met. In addition, patients may expect imaging or additional testing based on the perception that such testing is required or a 2218 2219 safer course of action in the routine management of vertigo. The guideline's current strong 2220 recommendation for CRP with its anticipated high, almost immediate symptom resolution rate is 2221 anticipated to decrease such expectations and tendencies. Informational pamphlets for patients 2222 regarding their diagnosis and expectations regarding the natural history of BPPV may ease this 2223 difficulty. Specialty clinicians may exhibit a tendency for ordering additional diagnostic testing 2224 due to a variety of factors. Clinician and patient education regarding outcomes expectations and

2225 counseling on proper follow-up may offset these issues.

With respect to treatment with CRP, several barriers may still need to be overcome. First, many clinicians are likely to be unfamiliar with the CRP or other treatment maneuvers. In a busy clinical setting, diagnosing physicians may be unable or unwilling to take additional time to treat BPPV at the same office visit as diagnosis. In such cases, increasing familiarity with CRP or additional training of clinicians such as audiologists, physical therapists and other providers may facilitate patients' access to CRP. Training courses on performance of the CRP offered at clinical education meetings will also help overcome this barrier.

Finally, patients may seek what are perceived to be simpler solutions such as medication therapy for BPPV. Given that medication therapy has not been shown effective in the treatment of BPPV, clinicians will need to educate patients that these medications offer more harm than benefit. Additional education of patients will be required in the form of handouts or brochures that inform patients of the risks associated with symptomatic BPPV including risks for falls, recurrence of BPPV and treatment options. Algorithms for fall assessment and home safety assessment will allow clinicians to stratify patients as to these risks. (Rubenstein et al, 2001)

**Figure 8: Algorithm showing the relationship of guideline key action statements** 



# **RESEARCH NEEDS**

2245		As determined by the panel's review of the literature, assessment of current clinical
2246	practic	ces and evidence gaps, research needs were determined as follows:
2247	1.	Conduct diagnostic and cost-effectiveness studies to identify which subsets of patients,
2248		based on specific history or physical examination findings, should be submitted for
2249		additional vestibular testing and/or radiographic imaging in the setting of presumed
2250		BPPV.
2251	2.	Diagnostic and cost-effectiveness studies evaluating the utility and costs of audiometry in
2252		the diagnostic evaluation of BPPV are needed.
2253	3.	Determine whether education and application of clinical diagnostic criteria for BPPV will
2254		change physician behavior in terms of anticipated decreases in ordering of diagnostic
2255		tests.
2256	4.	Determine the optimal number of CRPs and the time interval between performance of
2257		CRP's for patients with posterior canal BPPV.
2258	5.	Cost-effectiveness studies for the potential advantages of earlier intervention based on
2259		earlier diagnosis and earlier symptom resolution with expedient CRP's for BPPV are
2260		needed. Both direct healthcare and global economic costs require assessment.
2261	6.	Extended cohort studies with longer follow-up to determine if measures such as self-
2262		performance of CRP or longitudinal vestibular rehabilitation decrease recurrence rates for
2263		BPPV or complications from BPPV such as falls.
2264	7.	Determine whether vestibular therapy after the CRP offers additional benefits over CRP
2265		alone in select patient populations.

8. Studies on the functional impact of BPPV as they relate to home safety, work safety and

- absences and driving risks.
- 9. Epidemiological studies on the rates of falls with BPPV as an underlying cause/diagnosis.
- 10. Assess the impact of BPPV on quality of life for those affected using general QOL and/or

dizziness specific QOL metrics.

- 11. Develop and validate a disease specific quality of life measure for BPPV to assesstreatment outcomes.
- 2273 12. Perform studies to evaluate the effect of structured versus "as needed" follow up2274 regimens on the outcomes of patients with BPPV.
- 13. Clarify and standardize the terms used to describe repositioning maneuvers for BPPV of
- 2276 the lateral canal to enable meaningful comparison of their efficacy.
- 2277 14. Perform studies to evaluate the effectiveness of mastoid vibration in the treatment of2278 BPPV.
- 2279 15. Epidemiological studies to characterize the relative risk of factors associated with the
   2280 development of BPPV such as osteoporosis, dental procedures and other devices that
- deliver cranial vibrations (massage devices, motorized toothbrushes, etc.).
- 2282 16. Identify patient and treatment related risk factors for the development of recalcitrant2283 BPPV.
- 2284 17. Perform studies to evaluate the sensitivity, specificity and predictive values of the
  2285 available exam maneuvers to determine the presence and laterality of BPPV affecting the
  2286 anterior semicircular canal.
- 18. Perform studies to characterize the accuracy of diagnostic maneuvers for posterior and

2288 lateral canal BPPV and to evaluate the treatment outcomes for patients with BPPV seen

in non-specialty settings.

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### 2291 **DISCLAIMER**

2292 The clinical practice guideline is provided for information and educational purposes only. It is 2293 not intended as a sole source of guidance in managing BPPV. Rather, it is designed to assist 2294 clinicians by providing an evidence-based framework for decision-making strategies. The 2295 guideline is not intended to replace clinical judgment or establish a protocol for all individuals 2296 with this condition and may not provide the only appropriate approach to diagnosing and 2297 managing this program of care. As medical knowledge expands and technology advances, 2298 clinical indicators and guidelines are promoted as conditional and provisional proposals of what 2299 is recommended under specific conditions but are not absolute. Guidelines are not mandates; 2300 these do not and should not purport to be a legal standard of care. The responsible provider, in 2301 light of all circumstances presented by the individual patient, must determine the appropriate 2302 treatment. Adherence to these guidelines will not ensure successful patient outcomes in every 2303 situation. The AAO-HNS, Inc. emphasizes that these clinical guidelines should not be deemed to 2304 include all proper treatment decisions or methods of care, or to exclude other treatment decisions 2305 or methods of care reasonably directed to obtaining the same results.

2306 2307

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2317

### 2318 **DISCLOSURES**

2319 Competing interests: Neil Bhattacharyya, Consultant for rhinology-based companies for sinus

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